# NOTICES OF PROPOSED RULEMAKING

Unless exempted by A.R.S. § 41-1005, each agency shall begin the rulemaking process by first submitting to the Secretary of State's Office a Notice of Rulemaking Docket Opening followed by a Notice of Proposed Rulemaking that contains the preamble and the full text of the rules. The Secretary of State's Office publishes each Notice in the next available issue of the *Register* according to the schedule of deadlines for *Register* publication. Under the Administrative Procedure Act (A.R.S. § 41-1001 et seq.), an agency must allow at least 30 days to elapse after the publication of the Notice of Proposed Rulemaking in the *Register* before beginning any proceedings for making, amending, or repealing any rule. (A.R.S. §§ 41-1013 and 41-1022)

# NOTICE OF PROPOSED RULEMAKING

## TITLE 4. PROFESSIONS AND OCCUPATIONS

#### **CHAPTER 19. BOARD OF NURSING**

Editor's Note: The following Notice of Proposed Rulemaking was exempt from Executive Order 2012-03 as issued by Governor Brewer. (See the text of the executive order on page 549.)

[R14-23]

#### **PREAMBLE**

<u>1.</u>	Articles, Parts and Sections Affected (as applicable)	Rulemaking Action
	R4-19-101	Amend
	R4-19-217	Amend
	R4-19-801	Repeal
	R4-19-801	New Section
	R4-19-802	Repeal
	R4-19-802	New Section
	R4-19-803	Repeal
	R4-19-803	New Section
	R4-19-804	Repeal
	R4-19-804	New Section
	R4-19-805	Amend
	R4-19-806	Amend
	R4-19-807	Amend
	R4-19-808	Repeal
	R4-19-808	New Section
	R4-19-809	Amend
	R4-19-810	Amend
	R4-19-811	Amend
	R4-19-812	Amend
	R4-19-813	Amend
	R4-19-814	Amend
	R4-19-815	Amend

# 2. Citations to the agency's statutory rulemaking authority to include the authorizing statutes (general) and the implementing statutes (specific):

Authorizing statutes: A.R.S. §§ 1606(A)(1), (B)(1), (B)(2), (B)(8), (B)(11), (B)(16) (B)(24) and (B)(25); 32-1609; 32-1650.01; 32-1650.06; 32-1646.

Implementing statutes: A.R.S. §§ 32-1601(2), (9), (14), (21), (22); 32-1605.01(B)(3), (B)(7); 32-1645; 32-1647; 32-1648; 32-1650; 32-1650.02; 32-1650.03; 32-1650.04; 32-1650.05; 32-1650.07; 32-1663; 32-1664; 32-1666(B); 32-1667(3).

# 3. Citations to all related notices published in the *Register* as specified in R1-1-409(A) that pertain to the record of the proposed rule:

Notice of Rulemaking Docket Opening: 20 A.A.R. 134, January 17, 2014

# 4. The agency's contact person who can answer questions about the rulemaking:

Name: Pamela K. Randolph RN, MS, FRE

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# 5. An agency's justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:

#### R4-19-101. Definitions

Definitions, pertinent to Article 8, of "certified medication assistant" and "secure examination" were added. The definition of "admission cohort" was amended to allow for open-entry/exit programs. The definition of "dual relationship" was amended to include a patient's family. The definitions of "preceptorship" and "preceptor" were amended to include LPN programs that use preceptors for clinical experiences under R4-19-206(E). Several definitions were amended to include CMA programs. The definitions of "regionally accredited," "NATCEP" and "traineeship" were deleted as the terms are no longer used in Chapter 19. The definition of "nursing practice" was deleted because it conflicts with statutory definitions of registered and practical nursing in A.R.S. § 32-1601.

### R4-19-217. Distance Learning Nursing Programs; Out-of-State Nursing Programs

The Board amended this section to be consistent with statutory exemptions for licensure of out-of-state faculty in distance learning programs.

## ARTICLE 8. CERTIFIED NURSING ASSISTANTS AND CERTIFIED MEDICATION ASSISTANTS

The Arizona State Board of Nursing retitled, amended and adopted new Sections for Article 8 for the following reasons:

- 1. To adopt rules for the certification of medication assistants under A.R.S. § 32-1650;
- 2. To update current rules for nursing assistant programs;
- 3. To update certification requirements consistent with other Board processes;
- 4. To add further clarity to regulations governing nursing assistants and medication assistants; and
- 5. To incorporate changes identified in the Five-Year Rule Report on Article 8 approved by the Governor's Regulatory Review Council on April 6, 2010.

# R4-19-801. Common Standards for Certified Nursing Assistant (CNA) and Certified Medication Assistant Training Programs (CMA)

This new Section replaces the standards for nursing assistant training programs and incorporates standards that will be common to nursing assistant and medication assistant programs. Changes to the current nursing assistant program standards include the following: 1) a program shall not operate unless it has both a coordinator and instructor; 2) a program shall not advertise before approval; 3) all students must be registered with the testing vendor within 14 days of the program start; 4) programs must collect anonymous student evaluations; 5) programs must have an evaluation plan that takes into account student evaluations, pass rates on the state exam, attrition, grievances and program policies; 6) prospective students must be screened for ability to read and do simple math; 7) all programs must administer a secure comprehensive examination to students; 8) instructors must document each student's clinical performance for each clinical day; 9) programs must have first time pass rates on the manual skills and written exam not less than 20% points below the state average; and 10) on-line instruction is considered innovative and requires an application for an innovative program. The Board is proposing these amendments to protect consumers from programs that offer little or no instruction, use unqualified instructors, admit students before approval and admit students who cannot read, write or speak English. Other amendments are for the purpose of promoting program improvement such as evaluation elements, a secure final exam, registering students to track attrition and a passing standard on the state exam.

#### R4-19-802. CNA Program Standards

This new Section details the requirements that are specific to CNA programs. In order to protect students if a private program goes out of business and to enhance safety in such programs, CNA programs that are not accredited or approved by another entity, such as the Arizona Private Post-secondary Education Board, must have a surety bond and a fire inspection. The Board expanded opportunities for persons with a background in health care to complete a shortened, advanced placement option in a CNA program. CNA program instructor qualifications were amended to require one year of full-time RN employment in direct patient care. The Board is clarifying that 20 hours of clinical time in a long-term care facility must be in actual care of residents and not in orientation, conferencing and other learning activities. Conferencing and orientation may be accounted for in the total 40 clinical hours. The Board is including a list of required supplies and has incorporated provisions for consolidated programs that were previously only addressed in policy. Other provisions are identical to previous requirements for CNA programs.

## R4-19-803. Certified Medication Assistant Program Requirements

The Board is establishing this new Section to augment A.R.S. §§32-1650 through 1650.07 effective July 29, 2010. The Board was exempt from rulemaking for five years under the original statutory provisions. This rulemaking establishes instructor qualifications and duties, classroom and clinical requirements, required resources and curriculum.

# R4-19-804. Initial Approval and Renewal of Approval of Training Programs

This new Section combines two previous separate Sections on initial approval and renewal of approval. New requirements include the prohibition against conducting classes and advertising programs before approval, a self-assessment checklist that must accompany the application, andenrollment of at least 10 students for each two-year renewal period.

# R4-19-805. Deficiencies and Rescission of Program Approval, Unprofessional Program Conduct, Voluntary Termination, Disciplinary Action, and Reinstatement

The Board amended and retitled this Section to change the period for correcting deficiencies to six months rather than three months from the date of graduation of the next class. Since programs vary in length, the previous standard allowed some programs many months or even years to correct a deficiency, while others had weeks. Due to increasing complaints of unethical and unsafe instruction on the part of nursing assistant program personnel, and based on legal advice and consultation with stakeholders, the Board added a subsection regarding acts that would be considered unprofessional program conduct. The Board also added provisions for voluntary surrender of approval and revocation of approval with prohibitions against opening a new program within 3 or 5 years of surrender or revocation respectively. Other amendments this Section were made to improve clarity, conciseness or understandability. For example, voluntary termination of a program was clarified as were the requirements for re-issuance of approval.

### R4-19-806. Nursing Assistant and Certified Medication Assistant by Examination.

The Board amended and retitled this Section to include the statutory requirements for CMA certification and legal presence in the United States. The Board adopted qualifications for individuals to certify without taking an approved course. Those qualifications include individuals with work history or education in health care backgrounds deemed equivalent to the curriculum in approved CNA and CMA programs. The Board clarified that the CNA examination must be passed within 2 years of completing the educational requirements and the CMA examination must be passed within one year of completing the educational requirements. The Board is issuing dual certification to CMAs for both CNA and CMA. Other amendments were made to improve clarity, conciseness or understandability.

## R4-19-807. Nursing Assistant and Medication Assistant Certification by Endorsement

The Board amended and retitled this Section to include provisions for endorsement of medication assistants. Other amendments were made to improve clarity, conciseness or understandability.

## R4-19-808. Fees Related to Certified Medication Assistant

This new Section establishes the fees for CMA certification.

### R4-19-809. Nursing Assistant and Medication Assistant Certificate Renewal

The Board amended and retitled this Section incorporate CMA certificate renewal, delete the requirement to produce documents related to employment, and specify that if a certificate was disciplined by probation, revocation, denial, surrender or suspension in another jurisdiction, an investigation would be conducted before the Arizona certificate would be renewed. Other amendments were made to improve clarity, conciseness or understandability.

## R4-19-810. Certified Nursing Assistant Register

The Board amended this Section to improve clarity, conciseness or understandability.

### R4-19-811. Application for Duplicate Certificate

The Board amended this Section to improve clarity, conciseness or understandability and to incorporate electronic submission of documents.

#### R4-19-812. Change of Name or Address

The Board amended this Section to improve clarity, conciseness or understandability and to incorporate electronic submission of documents.

### R4-19-813. Performance of Nursing Assistant Tasks; Performance of Medication Assistant Tasks

The Board re-titled and amended this Section to improve clarity and understandability and to incorporate provisions for medication assistant tasks.

### R4-19-814. Standards of Conduct for Certified Nursing Assistants and Certified Medication Assistants

The Board amended and retitled this Section to incorporate provisions for CMAs and improve clarity and understandability. The Board added the following provisions to the subsection on unprofessional conduct: failing to report abuse or neglect, soliciting or selling goods or services to patients, and not responding to a written request from the Board.

# R4-19-815. Reissuance or Subsequent Issuance of a Nursing Assistant or Medication Assistant Certificate

The Board retitled and amended this Section to incorporate medication assistant. Other amendments were made to improve clarity, conciseness or understandability.

6. A reference to any study relevant to the rules that the agency reviewed and proposes either to rely on or not to rely on in its evaluation of or justification for the rules, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:

There are no studies that Board either relied on or did not rely on in its evaluation or justification for the rules.

7. A showing of good cause why the rules are necessary to promote a statewide interest if the rules will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

8. The preliminary summary of the economic, small business, and consumer impact:

Amendments to R4-19-101 will provide additional clarity to the regulated public and are not expected to have a measurable economic impact on the Board, the public or regulated parties.

Amendments to Article 8 are expected to have little to no economic impact on the Board and may have varying impacts on regulated programs depending on their current state of affairs. The Board regulates approximately 150 nursing assistant programs and six medication assistant programs. The majority of regulated programs meet the proposed rule requirements and program instructors participated in the informal rulemaking process. These programs will incur no additional costs. Programs with inadequate resources or who frequently violate rules will incur additional expenses related to compliance. Applicant programs will have an increased burden to show they can provide sound education, however, improved outcomes are expected from these amendments. Small businesses operating nursing assistant programs may be affected by amendments to R4-19-802 requiring bonding and fire inspection, however these provisions are not unduly burdensome and will protect both the business and the student.

Amendments to R4-19-217 may affect distance programs that have faculty that are not licensed in Arizona, but are providing distance nursing education in Arizona, if they do not meet the statutory exemption criteria. Consumers, especially students are expected to benefit from the improved transparency required of programs with this rulemaking and the increased requirements related to safety and instructor qualifications. In summary, the Board believes that the benefits to students, consumers and patients outweigh the costs of compliance.

The Board regulates approximately 27,950 CNAs and 23 CMAs. The current cost of CMA is \$65, slightly more than the cost proposed in this rulemaking, therefore CMAs will benefit from this rulemaking. The rulemaking poses no additional burden on CNAs and CMAs. Amendments to unprofessional conduct and CNA standards reflect current practices of the Board and clarify the position of the CMA in terms of medication administration. The public and regulated parties will benefit from clear regulations.

9. The agency's contact person who can answer questions about the economic, small business, and consumer impact statement:

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10. The time, place, and nature of the proceedings to make, repeal or renumber the rule, or if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rules:

The Board will hold an oral proceeding on April 7, 2014, at 3:30 pm in the Board offices at 4747 N. 7th St., STE 200, Phoenix, AZ 85014. The Board will accept written comments submitted to Pamela Randolph, Associate Director of Education and Evidence-based Regulation, 4747 N. 7th St., STE 200, Phoenix, AZ 85014 until the close of record at 5 p.m. on April 7, 2014.

11. All agencies shall list other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following question:

There are no other matters prescribed by statute applicable to the Board or this specific class of rules

a. Whether the rules requires a permit, whether a general permit is used and if not the reasons why a general permit is not used:

This rulemaking does not require a permit however this Article relates to the issuance of a certificate and program approval which can be considered a general permit.

# b. Whether a federal law is applicable to the subject of the rule, whether the rules is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of the federal law:

Federal laws (42 CFR Volume 4, Parts 150-158) contain the federal minimum requirements for nursing assistant programs and inclusion on the nursing assistant register. Under federal law, individual states are permitted to be more stringent than the minimum requirements. Under A.R.S. § 32-1606 (B)(1) and (2) the Board has authority to exceed the minimum requirements for program approval. Arizona is more stringent regarding CNA programs in the following areas: increased number of program hours, CNA instructors are RNs, and clinical sessions are in a long-term care facility. Other requirements for Arizona programs are not specified in the federal law, such as program policies, an evaluation plan, transparency of costs and supplies. Requirements for certification exceed those for being on the registry due to statutory requirements for fingerprint background checks (A.R.S. § 32-1606 (16)), and proof of legal presence (A.R.S. § 32-41-1080).

c. Whether a person submitted an analysis to the agency that compares the rule's impact of the competitiveness of business in this state to the impact on business in other states:

No analysis was submitted

# 12. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rules:

There is no material incorporated by reference.

## 13. The full text of the rules follows:

### TITLE 4. PROFESSIONS AND OCCUPATIONS

#### **CHAPTER 19. BOARD OF NURSING**

#### **ARTICLE 1. DEFINITIONS AND TIME-FRAMES**

Section

R4-19-101. Definitions

#### ARTICLE 2. ARIZONA REGISTERED AND PRACTICAL NURSING PROGRAMS; REFRESHER PROGRAMS

Section

R4-19-217. Distance Learning Nursing Programs; Out-of-State Nursing Programs

Assistant or Medication Assistant Certificate

## ARTICLE 8. CERTIFIED NURSING ASSISTANTS AND CERTIFIED MEDICATION ASSISTANTS

Section	
R4-19-801.	Standards for Nursing Assistant Training Programs Common Standards for Certified Nursing Assistant (CNA)
	and Certified Medication Assistant Training Programs (CMA)
R4-19-802.	Curriculum CNA Program Requirements
R4-19-803.	Initial Approval of Nursing Assistant Training Programs Certified Medication Assistant Program Require-
	<u>ments</u>
R4-19-804.	Renewal of Approval of Nursing Assistant Training Programs Initial Approval and Renewal of Approval of
	<u>Training Programs</u>
R4-19-805.	Deficiencies and Rescission of Program Approval, <u>Unprofessional Program Conduct</u> , Voluntary Termination,
	Disciplinary Action, and Reinstatement
R4-19-806.	Nursing Assistant and Certification Medication Assistant Certification by Examination
R4-19-807.	Nursing Assistant and Medication Assistant Certification by Endorsement
R4-19-808.	Temporary Certificate Fees Related to Certified Medication Assistant
R4-19-809.	Certified Nursing Assistant and Medication Assistant Certificate Renewal
R4-19-810.	Certified Nursing Assistant Register
R4-19-811.	Application for Duplicate Certificate
R4-19-812.	Change of Name or Address
R4-19-813.	Performance of Nursing Assistant Tasks: Performance of Medication Assistant Tasks
R4-19-814.	Standards of Conduct for Certified Nursing Assistants and Certified Medication Assistants
R4-19-815.	Reinstatement or Issuance of a Nursing Assistant Certificate Reissuance or Subsequent Issuance of a Nursing

## ARTICLE 1. DEFINITIONS AND TIME-FRAMES

### R4-19-101. Definitions

"Abuse" means a misuse of power or betrayal of trust, respect, or intimacy by a nurse, nursing assistant, or applicant that causes or is likely to cause physical, mental, emotional, or financial harm to a client.

"Administer" means the direct application of a medication to the body of a patient by a nurse, whether by injection, inhalation, ingestion, or any other means.

"Admission cohort' means a group of students admitted at the same time to the same curriculum in a regulated nursing, nursing assistant, or advanced practice nursing program or entering the first clinical course in a regulated program at the same time. Same time means on the same date or within a narrow range of dates pre-defined by the program.

"Applicant" means a person seeking licensure, certification, prescribing, or prescribing and dispensing privileges, or an entity seeking approval or re-approval, if applicable, of a:

CNS or RNP nursing program,

Credential evaluation service,

Nursing assistant training program,

Nursing program,

Nursing program change, or

Refresher program.

"Approved national nursing accrediting agency" means an organization recognized by the United States Department of Education as an accrediting agency for a nursing program.

"Assign" means a nurse designates nursing activities to be performed by another nurse that are consistent with the other nurse's scope of practice.

"Certificate or diploma in practical nursing" means the document awarded to a graduate of an educational program in practical nursing.

"Client" means a recipient of care and may be an individual, family, group, or community.

"Certified medication assistant" means a certified nursing assistant who meets Board qualifications and is additionally certified by the Board to administer medications under § 32-1650 et. seq.

"CES" means credential evaluation service.

"Clinical instruction" means the guidance and supervision provided by a nursing, nursing assistant or medication assistant program faculty member or NATCEP instructor while a student is providing client care.

"CMA" means certified medication assistant.

"CNA" means a certified nursing assistant, as defined in A.R.S § 32-1601(14).

"CNS" means clinical nurse specialist, as defined in A.R.S. § 32-1601(6).

"Collaborate" means to establish a relationship for consultation or referral with one or more licensed physicians on an asneeded basis. Supervision of the activities of a registered nurse practitioner by the collaborating physician is not required. "Contact hour" means a unit of organized learning, which may be either clinical or didactic and is either 60 minutes in length or is otherwise defined by an accrediting agency recognized by the Board.

"Continuing education activity" means a course of study related to nursing practice that is awarded contact hours by an accrediting agency recognized by the Board, or academic credits in nursing or medicine by a regionally or nationally accredited college or university.

"CRNA" means a certified registered nurse anesthetist as defined in A.R.S. § 32-1601(5).

"DEA" means the federal Drug Enforcement Administration.

"Dispense" means to package, label, and deliver one or more doses of a prescription-only medication in a suitable container for subsequent use by a patient.

"Dual relationship" means a nurse or CNA simultaneously engages in both a professional and nonprofessional relationship with a patient or resident or a patient's or resident's family that is avoidable, non-incidental, and results in the patient or resident or the patient's or resident's family being exploited financially, emotionally, or sexually.

"Eligibility for graduation" means that the applicant has successfully completed all program and institutional requirements for receiving a degree or diploma but is delayed in receiving the degree or diploma due to the graduation schedule of the institution.

"Endorsement" means the procedure for granting an Arizona nursing license to an applicant who is already licensed as a nurse in another state or territory of the United States and has passed an exam as required by A.R.S. §§ 32-1633 or 32-1638 or an Arizona nursing assistant or medication assistant certificate to an applicant who is already listed on a nurse aide register or certified as a medication assistant in another state or territory of the United States.

"Episodic nursing care" means nursing care at nonspecific intervals that is focused on the current needs of the individual. "Failure to maintain professional boundaries" means any conduct or behavior of a nurse or CNA that, regardless of the nurse's or CNA's intention, is likely to lessen the benefit of care to a patient or resident or a patient's or resident's family or places the patient, resident or the patient's or resident's family at risk of being exploited financially, emotionally, or sexually.

"Full approval" means the status granted by the Board when a nursing program, after graduation of its first class, demonstrates the ability to provide and maintain a program in accordance with the standards provided by A.R.S. Title 32, Chapter 15 and this Chapter.

"Good standing" means the license of a nurse, or the certificate of a nursing assistant, is current, and the nurse or nursing assistant is not presently subject to any disciplinary action, consent order, or settlement agreement.

"Independent nursing activities" means nursing care within an RN's scope of practice that does not require authorization from another health professional.

"Initial approval" means the permission, granted by the Board, to an entity to establish a nursing assistant training program, after the Board determines that the program meets the standards provided by A.R.S. Title 32, Chapter 15 and this Chapter.

"Licensure by examination" means the granting of permission to practice nursing based on an individual's passing of a prescribed examination and meeting all other licensure requirements.

"LPN" means licensed practical nurse.

"NATCEP" means Nurse Aide Training and Competency Evaluation Program and includes both the nursing assistant training program and the required certification exam.

"NCLEX" means the National Council Licensure Examination.

"Nurse" means a licensed practical or registered nurse.

"Nursing diagnosis" means a clinical judgment, based on analysis of comprehensive assessment data, about a client's response to actual and potential health problems or life processes. Nursing diagnosis statements include the actual or potential problem, etiology or risk factors, and defining characteristics, if any.

"Nursing practice" means assisting individuals or groups to maintain or attain optimal health, implementing a strategy of eare to accomplish defined health goals, and evaluating responses to care and treatment.

"Nursing process" means applying problem-solving techniques that require technical and scientific knowledge, good judgment, and decision-making skills to assess, plan, implement, and evaluate a plan of care.

"Nursing program" means a formal course of instruction designed to prepare its graduates for licensure as registered or practical nurses.

"Nursing program administrator" means a nurse educator who meets the requirements of A.R.S. Title 32, Chapter 15 and this Chapter and has the administrative responsibility and authority for the direction of a nursing program.

"Nursing program faculty member" means an individual working full or part time within a nursing program who is responsible for either developing, implementing, teaching, evaluating, or updating nursing knowledge, clinical skills, or curricula.

"Nursing-related activities or duties" means client care tasks for which education is provided by a basic nursing assistant training program.

"P & D" means prescribing and dispensing.

"Parent institution" means the educational institution in which a nursing program, or nursing assistant training program or medication assistant program is conducted.

"Patient" means an individual recipient of care.

"Pharmacology" means the science that deals with the study of drugs.

"Physician" means a person licensed under A.R.S. Title 32, Chapters 7, 8, 11, 13, 14, 17, or 29, or by a state medical board in the United States.

"Preceptor" means a registered <u>licensed</u> nurse or other health professional who meets the requirements of A.R.S. Title 32, Chapter 15 and this Chapter who instructs, supervises and evaluates a licensee, clinical nurse specialist, nurse practitioner or pre-licensure nursing student, for a defined period.

"Preceptorship" means a clinical learning experience by which a learner enrolled in a registered nursing program, nurse refresher program, clinical nurse specialist, or registered nurse practitioner program or as part of a Board order provides nursing care while assigned to a health professional who holds a license or certificate equivalent to or higher than the level of the learner's program or in the case of a nurse under Board order, meets the qualifications in the Board order.

"Prescribe" means to order a medication, medical device, or appliance for use by a patient.

"Proposal approval" means that an institution has met the standards provided by A.R.S. Title 32, Chapter 15 and this Chapter to proceed with an application for provisional approval to establish a pre-licensure nursing program in Arizona.

"Provisional approval" means that an institution has met the standards provided by A.R.S. Title 32, Chapter 15 and this Chapter to implement a pre-licensure nursing program in Arizona.

"Refresher program" means a formal course of instruction designed to provide a review and update of nursing theory and practice.

"Regionally accredited" means an educational institution meets the standards and holds a current, valid certificate of accreditation from a regional accrediting organization recognized by the Council for Higher Education Accreditation (CHEA) or a subsequent equivalent organization.

"Register" means a listing of Arizona certified nursing assistants maintained by the Board that includes the following about each nursing assistant:

Identifying demographic information;

Date placed on the register;

Date of initial and most recent certification, if applicable; and

Status of the nursing assistant certificate, including findings of abuse, neglect, or misappropriation of property made by the Arizona Department of Health Services, sanctions imposed by the United States Department of Health and Human Services, and disciplinary actions by the Board.

"Resident" means a patient who receives care in a long term care facility or other residential setting.

"RN" means registered nurse.

"RNP" means a registered nurse practitioner as defined in A.R.S. § 32-1601(19).

"SBTPE" means the State Board Test Pool Examination.

"School nurse" means a registered nurse who is certified under R4-19-309.

"Secure examination" means a written test given to an examinee that:

Is administered under conditions designed to prevent cheating:

Is taken by an individual examinee without access to aides, textbooks, other students or any other material that could influence the examinee's score; and,

After opportunity for examinee review, is either never used again or stored such that only designated employees of the educational institution are permitted to access.

"Self-study" means a written self-evaluation conducted by a nursing program to assess the compliance of the program with the standards listed in Article 2.

"Standards related to scope of practice" means the expected actions of any nurse who holds the identified level of licensure

"Substance use disorder" means misuse, dependence or addiction to alcohol, illegal drugs or other substances.

"Supervision" means the direction and periodic consultation provided to an individual to whom a nursing task or patient care activity is delegated.

"Trainceship" means a clinical learning experience where a student enrolled in an approved nursing assistant training program provides care for residents in a long term care facility while working with a CNA employed by the facility under the supervision of an RN or LPN.

"Unlicensed assistive personnel" or "UAP" means a CNA or any other unlicensed person, regardless of title, to whom nursing tasks are delegated.

"Verified application" means an affidavit signed by the applicant attesting to the truthfulness and completeness of the application and includes an oath that applicant will conform to ethical professional standards and obey the laws and rules of the Board.

## ARTICLE 2. ARIZONA REGISTERED AND PRACTICAL NURSING PROGRAMS; REFRESHER PROGRAMS

## **R4-19-217.** Distance Learning Nursing Programs; Out-of-State Nursing Programs

- **A.** No change
- **B.** Any nursing program that delivers didactic instruction by distance learning methods, whether in this state or another, shall ensure that the methods of instruction are compatible with the program curriculum plan and enable a student to meet the goals, competencies, and objectives of the educational program and standards of the Board.
  - 1. No change
  - 2. No change
  - 3. Didactic faculty members shall be licensed in the state of origination of a distance learning nursing program and in Arizona or hold a multi-state compact license unless exempt under A.R.S. § 32-1631 (8).
  - 4. No change
  - 5. No change
- C. No Change
  - 1. No change
  - 2. No change
- **D.** No change
- E. No change
- F. No change
- **G.** No change
  - 1. No change
  - 2. No change
  - 3. No change

# ARTICLE 8. CERTIFIED NURSING ASSISTANTS AND CERTIFIED MEDICATION ASSISTANTS

## R4-19-801. Standards for Nursing Assistant Training Programs Common Standards for Certified Nursing Assis-

## tant (CNA) and Certified Medication Assistant Training Programs (CMA)

- A. For the purposes of this Article "trainceship" means a clinical experience in which a nursing assistant student works with a facility staff member under the supervision of a licensed nurse to provide care for residents without an instructor onsite.
- B. Organization and administration
  - 1. A nursing assistant training program shall provide a description of the program that includes the length of the program, number of hours of clinical and classroom instruction, and program goals consistent with federal, state, and if applicable, private postsecondary requirements. The program shall provide a description that is consistent with the purpose, goals, and objectives of a parent institution, if any.
  - 2. A nursing assistant training program that uses external clinical facilities shall execute a written agreement with each external clinical facility that:
    - a. Defines the rights and responsibilities of both the clinical facility and the program,
    - b. Defines the role and authority of the governing bodies of both the clinical facility and the program,
    - e. Allows the program instructor the right to select learning experiences for students, and
    - d. Contains a termination clause that provides sufficient time for enrolled students to complete the clinical experience upon termination of the agreement.
  - 3. A nursing assistant training program shall promulgate written policies and procedures that are consistent with the policies and procedures of the parent institution, if any, and contain an effective and review date for each policy or procedure. The program shall provide a copy of its policies and procedures to each student on or before the first day the student participates in the program. The program shall develop and adhere to policies and procedures in the following areas:
    - a. Student attendance ensuring that a student receives 120 hours of instruction or the equivalent of 120 hours;
    - b. Student grading, requiring that a student either attain at least 75% on each theoretical exam, or 75% on a comprehensive theoretical exam:
    - e. Test retake, if retake tests are allowed, informing students that a retake test:
      - i. Addresses the competencies tested in the original test,
      - ii. Contains different items from the original test, and
      - iii. Is documented in the student's record;
    - d. Student record maintenance including information regarding records retention, retention period, records location, and documents required under subsections (D)(5) and (6);
    - e. Instructor supervision of students in the clinical area, providing for:
      - i. A method to contact the instructor that ensures the instructor is available as needed;
      - ii. Instructor rounds for each student according to patient or resident need and student ability;
      - iii. Direct observation and documentation of student performance, consistent with course and clinical objectives; and
      - iv. Only activities related to the direct supervision of students during the clinical session.
    - f. Student fees and financial aid, if any;
    - g. Dismissal, advanced placement consistent with subsection (B)(4), and withdrawal policies;
    - h. Student grievance policy, including a chain of command for grade disputes;
    - i. Admission requirements, including any criminal background or drug testing required;
    - j. Program completion criteria; and
    - k. Notification of Board requirements for certification, including the criminal background check requirement, before enrolling a student.
  - 4. In lieu of requiring completion of all course hours specified in R4-19-802, a nursing assistant training program may develop a policy that allows a student with at least one year full-time nursing assistant experience to demonstrate attainment of course objectives and clinical competencies consistent with curriculum requirements in R4-19-802(C). The program shall evaluate competency through a written comprehensive examination, skills testing, and at least 16 hours of clinical practice in a long-term care facility directly supervised by the registered nurse instructor. A program that develops a policy under this subsection shall submit a copy of the policy to the Board.
  - 5. Within 15 days of program completion, a nursing assistant training program shall provide a certificate of completion document, which contains the following, to each student who has completed the program:
    - a. The name and classroom location of the program;
    - b. The number of classroom and clinical hours in the program;
    - e. The number of traineeship hours, if any;
    - d. The end date of the program;
    - e. The program number, if known; and
    - f. The signature of the program coordinator, instructor, or the supervisor of the program coordinator or instructor.
  - 6. A nursing assistant training program shall execute and maintain under subsection (D)(5) and (6) the following documents for each student:
    - a. A skills check-off list, containing documentation of competency of the nursing assistant skills in R4-19-802(C),

and

- b. A program evaluation form, containing the student's responses to questions about the quality of the classroom and clinical experiences during the training program.
- C. Program coordinator and instructor qualifications and responsibilities
  - 1. A program coordinator shall:
    - a. Hold a current, registered nurse license that is active and in good standing under A.R.S. Title 32, Chapter 15; and
    - b. Possess at least two years of nursing experience at least one year of which is in the provision of long-term care facility services.
  - 2. A director of nursing in a health care facility may assume the role of a program coordinator for a nursing assistant training program based in the facility but shall not function as a program instructor.
  - 3. A program coordinator shall:
    - a. Supervise and evaluate the program;
    - b. Ensure that instructors meet Board qualifications; and;
    - e. Ensure that the written policies in subsection (B) are available to students on or before the first day of the program:
  - 4. A program instructor shall:
    - a. Hold a current, registered nurse license that is active and in good standing under A.R.S. Title 32, Chapter 15; and
    - b. Possess one or more of the following:
      - i. Credit for a course on teaching adults,
      - ii. One year of experience teaching adults, or
      - iii. One year of experience supervising nursing assistants.
  - 5. For classroom and clinical, excluding hours spent in a trainceship, a program instructor shall:
    - a. Plan each learning experience;
    - b. Accomplish course goals and lesson objectives;
    - e. Enforce a grading policy that meets or exceeds the requirements of subsection (B)(3)(b);
    - d. Require satisfactory performance of all critical elements of each nursing assistant skill under R4-19-802(C);
    - e. Prevent a student from performing an activity unless the student has received instruction and been found to competently perform the activity;
    - f. Supervise any student who provides care to clients in clinical areas, consistent with the requirements of subsection (B)(3)(e);
    - g. Be present in the classroom during all instruction; and
    - h. Supervise health care professionals and clinical instructors who assist in providing program instruction.
  - 6. A certified or licensed health care professional shall not assist the program instructor unless the health care professional has at least one year of experience in the field of licensure or certification and the learning activity is within the scope of practice of the licensee or certificate holder. A certified nursing assistant shall not provide classroom or clinical instruction in a nursing assistant training program.
- D. Clinical requirements, resources, and records
  - 1. A nursing assistant training program shall provide a minimum of one clinical instructor for every 10 students if students perform one or more nursing assistant activities for a patient or resident. The program shall ensure that the instructor is physically present in the health care setting during each performance of a nursing assistant activity for a patient or resident.
  - 2. A nursing assistant training program shall provide an instructor-supervised clinical experience for each nursing assistant student, which consists of at least 40 hours of direct patient or resident care, and includes at least 20 hours earing for long-term care facility residents. If there is no long-term care facility available within a 50-mile radius of the program, the program may conduct clinical sessions in a healthcare institution that provides experiences with patients or residents who have nursing care needs similar to those of long-term care facility residents.
  - 3. A nursing assistant training program shall ensure that each nursing assistant student is identified as a student by a name badge or another means readily observable to staff, patients, or residents and not utilize students as staff during clinical and trainceship experiences.
  - 4. A nursing assistant training program shall provide or have access to instructional and educational resources for implementing the program, for the planned number of students and instructional staff, including:
    - a. Current reference materials, related to the level of the curriculum;
    - b. Equipment in functional condition for simulating patient care, including:
      - i. A patient bed, overbed table, and nightstand;
      - ii. Privacy curtains and call bell;
      - iii. Thermometers, stethoscopes, including a teaching stethoscope, blood pressure cuffs, and a balance-type scale:
      - iv. Hygiene supplies, elimination equipment, drainage devices, and linens;
      - v. Hand washing equipment and clean gloves; and

- vi. Wheelchair, gait belt, walker, anti-embolic hose, and cane;
- e. Audio-visual equipment and media; and
- d. Designated space for didactic teaching and skill practice that provides a clean, distraction-free learning environment for accomplishing the educational goals of the program and is comparable to the space provided by a previously approved program of similar size and type, if any;
- 5. A nursing assistant training program shall maintain the following program records for three years:
  - a. Curriculum and course schedule for each cohort group;
  - b. Results of state-approved written and manual skills testing;
  - e. Completed student program evaluation forms, a summary of the evaluations for each cohort group, and measures taken by the program, if any, to improve the program based on student and instructor evaluation; and
  - d. A copy of any Board reports, applications, or correspondence, related to the program.
- 6. A nursing assistant training program shall maintain the following student records for three years:
  - a. A record of the student's name, date of birth, and Social Security number, if available;
  - b. A completed skill cheeklist;
  - e. Attendance record, which describes any make-up class sessions;
  - d. Scores on each test, quiz, or exam and, if applicable, whether such test quiz or exam was retaken; and
  - e. For programs with trainceships, documentation from the registered nurse supervising the trainceship that indicates the number of hours completed and the performance of the student during the trainceship; and
  - f. A copy of the certificate of completion issued to the student upon successful completion of the training program.

## E. Periodic evaluation

- A nursing assistant training program shall permit the Board, or a state agency designated by the Board, to conduct an
  onsite scheduled evaluation for initial Board approval, in accordance with R4-19-803, and renewal of approval, in
  accordance with R4-19-804.
- 2. For reasonable cause, as determined by the Board, a nursing assistant training program shall permit the Board, or a state agency designated by the Board, to conduct an onsite unannounced evaluation of the program.
- F. A nursing assistant training program shall submit written documentation and information regarding the following changes within 30 days of instituting the change:
  - 1. For a change or addition of an instructor or coordinator, the name, license number, and documentation of meeting coordinator or instructor requirements of this Section, as applicable;
  - 2. For a decrease in the number of program hours, a description of the change, the reason for the change, a revised curriculum outline, and a revised course schedule;
  - 3. For a change in classroom location, the address of the new location, if applicable, and a description of the new class-room:
  - 4. For a change in a clinical facility, the name of the new facility and a copy of the clinical contract; and
  - 5. For a change in the name or ownership of the facility, the former, present and new name of the facility.

# **A.** Program Administrative Responsibilities

- 1. Any person or entity offering a training program under this Article shall, before accepting tuition from prospective students, and at all times thereafter, provide program personnel including a coordinator and instructors, as applicable, who meet the requirements of this Article.
- 2. If at any time, a person or entity offering a training program cannot provide a qualified instructor for its students, it shall immediately cease instruction and, if the training program cannot provide a qualified instructor within 5 business days, the training program shall offer all enrolled students a refund of all tuition and fees the students have paid to the program.
- 3. A training program shall obtain and maintain Board approval or re-approval as specified in this Article and A.R.S § 32-1650.01 (B) before advertising the program, accepting any tuition, fees, or other funds from prospective students, or enrolling students.
- 4. A training program that uses external clinical facilities shall execute a written agreement with each external clinical facility that:
  - a. Provides the program instructor the ability to assign patient care experiences to students after consultation with facility staff, and
  - b. Contains a termination clause that provides sufficient time for enrolled students to complete their clinical training upon termination of the agreement.
- 5. A training program that requires students to pay tuition for the program shall:
  - a. Make all program costs readily accessible on the school's website with effective dates,
  - b. Publically post any increases in costs on the school's website 30 days in advance of the increase:
  - c. Include in the cost calculation and public posting, all fees directly paid to the program including but not limited to tuition, lab fee, clinical fee, enrollment fee, insurance, books, uniform, health screening, credit card fee and state competency exam fee; and
  - d. Provide a description of all program costs to the student that are not directly paid to the program.

- 6. Before collecting any tuition or fees from a student, a training program shall notify each prospective student of Board requirements for certification including legal presence in the United States, criminal background check requirements, and ineligibility for certification under A.R.S. § 32-1606(B)(17).
- 7. Within the first 14 days of the program and before 50% of program instruction occurs, a training program shall transmit to the Board-approved test vendor, accurate and complete information regarding each enrolled student for the purposes of tracking program enrollment, attrition, completion. Upon receipt of accurate completion information, the vendor shall issue a certificate of completion to the program for each successful graduate.
- 8. A training program shall provide the Board, or its designee, access to all training program records, students and staff at any time, including during an announced or unannounced visit. A program's refusal to provide such access is grounds for withdrawal of Board approval.
- 9. A training program shall provide each student with an opportunity to anonymously and confidentially evaluate the course instructor, curriculum, classroom environment, clinical instructor, clinical setting, textbook and resources of the program;
- 10. A training program shall provide and implement a plan to evaluate the program that includes the frequency of evaluation, the person responsible, the evaluative criteria, the results of the evaluation and actions taken to improve the program. The program shall evaluate the following elements at a minimum every two years:
  - a. Student evaluations consistent with subsection (A)(9);
  - b. First-time pass rates on the written and manual skills certification exams for each admission cohort;
  - c. Student attrition rates for each admission cohort;
  - d. Resolution of student complaints and grievances in the past two years; and
  - e. Review and revision of program policies.
- 11. A training program shall submit written documentation and information to the Board regarding the following program changes within 30 days of instituting the change:
  - a. For a change or addition of an instructor or coordinator, the name, RN license number, and documentation that the coordinator or instructor meets the applicable requirements of R4-19-802(B) and (C) for CNA programs and R4-19-803(B) for CMA programs;
  - b. For a change in classroom location, the previous and new location, and a description of the new classroom;
  - c. For a change in a clinical facility, the name and address of the new facility and a copy of the signed clinical contract;
  - <u>d.</u> For a change in the name or ownership of the training program, the former name or owners and the new name or owners; and
  - e. For a decrease in hours of the program, a written revised curriculum document that clearly highlights new content, strikes out deleted content and includes revised hours of instruction, as applicable.

#### B. Policies and Procedures

- 1. A training program shall promulgate and enforce written policies and procedures that comply with state and federal requirements, and are consistent with the policies and procedures of the parent institution, if any. The program shall provide effective and review dates for each policy or procedure.
- 2. A training program shall provide a copy of its policies and procedures to each student on or before the first day the student begins the program.
- 3. The program shall promulgate and enforce the following policies with accompanying procedures:
  - a. Admission requirements including:
    - i. Criminal background, health and drug screening either required by the program or necessary to place a student in a clinical agency; and
    - ii. English language, reading and math skills necessary to comprehend course materials and perform duties safely.
  - b. Student attendance policy, ensuring that a student receives the hours and types of instruction as reported to the Board in the program's most recent application to the Board and as required in this Article. If absences are permitted, the program shall ensure that each absence is remediated by providing and requiring the student to complete learning activities that are equivalent to the missed curriculum topics, clinical experience or skill both in substance and in classroom or clinical time.
  - c. A final examination policy that includes the following provisions:
    - i. Require that its students score a minimum 75% correct answers on a comprehensive secure final examination with no more than one re-take. The program may allow an additional re-take following documented, focused remediation based on past test performance. Any re-take examination must contain different items than the failed exam, address all course competencies, and be documented with score, date administered and proctor in the student record; and
    - ii. Require that each student demonstrate, to program faculty, satisfactory performance of each practical skill as prescribed in the curriculum before performance of that skill on patients or residents without the instructor's presence, direct observation, and supervision;

- d. Student record maintenance policies consistent with subsection (D) including the retention period, the location of records and the procedure for students to access to their records.
- e. Clinical supervision policies consistent with clinical supervision provisions of this Section, and:
  - i. R4-19-802(C) and (D) for CNA programs, or
  - ii. R4-19-803(B) and (C) for CMA programs;
- f. Student conduct policies for expected and unacceptable conduct in both classroom and clinical settings;
- g. Dismissal and withdrawal policies;
- h. Student grievance policy that includes a chain of command for grade disputes and ensures that students have the right to contest program actions and provide evidence in support of their best interests including the right to a third party review by a person or committee that has no stake in the outcome of the grievance;
- <u>Program progression and completion criteria.</u>

# C. Classroom and clinical instruction

- 1. During clinical training sessions, a training program shall ensure that each student is identified as a student by a name badge or another means readily observable to staff, patients, and residents.
- 2. A training program shall not utilize, nor allow the clinical facility to utilize, students as staff during clinical training sessions.
- 3. A training program shall provide a clean, comfortable, distraction-free learning environment for didactic teaching and skill practice.
- 4. A training program shall provide, in either electronic or paper format, a written curriculum to each student on or before the first day of class that includes a course description, course hours including times of instruction and total course hours, instructor information, passing requirements, course goals, and a topical schedule containing date, time and topic for each class session.
- 5. For each unit or class session the program shall provide, to its students, written:
  - a. Measurable learner-centered objectives,
  - b. An outline of the material to be taught, and
  - c. The learning activities or reading assignment.
- 6. A training program shall utilize an electronic or paper textbook corresponding to the certification level of the course that has been published within the previous five years. Unless granted specific permission by the publisher, a training program shall not utilize copies of published materials in lieu of an actual textbook.
- 7. A training program shall provide, to all program instructors and enrolled students, access to the following instructional and educational resources:
  - a. Reference materials, corresponding to the level of the curriculum; and
  - b. Equipment and supplies necessary to practice skills.
- 8. A training program instructor shall:
  - a. Plan each learning experience;
  - b. Ensure that the curriculum meets the requirements of this Section;
  - c. Prepare written course goals, lesson objectives, class content and learning activities;
  - d. Schedule and achieve course goals and objectives by the end of the course; and
  - e. Require satisfactory performance of all critical elements of each skill under R4-19-802 (G) for nursing assistant and R4-19-803(D)(4) for medication assistant before allowing a student to perform the skill on a patient or resident without the instructor's presence at the bedside.
- 9. A qualified RN instructor shall be present at all times and during all scheduled classroom, skills laboratory and clinical sessions. In no instance shall a nursing assistant or other unqualified person provide any instruction, reinforcement, evaluation or independent activities in the classroom or skills laboratory.
- 10. A qualified RN instructor shall supervise any student who provides care to patients or residents by:
  - a. Remaining in the clinical facility and focusing attention on student learning needs during all student clinical experiences;
  - b. Providing the instructor's current and valid contact information to students and facility staff during the instructor's scheduled teaching periods;
  - c. Observing each student performing tasks taught in the training program;
  - d. Documenting each student's performance each day, consistent with course skills and clinical objectives;
  - e. During the clinical session, engaging exclusively in activities related to the supervision of students; and
  - f. Reviewing all student documentation.

# **D.** Records

- 1. A training program shall maintain the following program records either electronically or in paper form for a minimum of three years for CNA programs and five years for CMA programs:
  - a. Curriculum and course schedule for each admission cohort;
  - b. Results of state-approved written and manual skills testing:
  - c. <u>Documentation of program evaluation under subsection (A)(10);</u>

- d. A copy of any Board reports, applications, or correspondence, related to the program; and
- e. A copy of all clinical contracts, if using outside clinical agencies.
- 2. A training program shall maintain the following student records either electronically or in paper form for a minimum of three years for CNA programs and five years for CMA programs:
  - a. A record of each student's legal name, date of birth, address, telephone number, e-mail address and Social Security number, if available;
  - b. A completed skill checklist containing documentation of student level of competency performing the skills in R4-19-802(F) for nursing assistant, and in R4-19-803 (D)(4) for medication assistants;
  - c. An accurate attendance record, which describes any make-up class sessions and reflects whether the student completed the required number of hours in the course; and
  - d. Scores for each test, quiz, or exam and whether such test quiz or exam was retaken.
- E. Certifying Exam Passing Standard: A training program and each site of a consolidated program under R4-19-802 (E) shall attain, at a minimum, an annual first-time passing rate on the manual skill and written certifying examinations that is equal to the Arizona average pass rate for all candidates on each examination minus 20 percentage points. The Board may waive this requirement for programs with less than five students taking the exam during the year. The Board shall issue a notice of deficiency under A.A.C. R4-19-805 to any program with five or more students taking the exam that fails to achieve the minimum passing standard in any calendar year.
- F. Distance Learning; Innovative Programs
  - 1. A training program may be offered using real-time interactive distance technologies such as interactive television and web based conferencing if the program meets the requirements of this Article.
  - 2. Before a training program may offer, advertise, or recruit students for an on-line, innovative or other non-traditional program, the program shall submit an application for innovative applications in education under R4-19-214 and receive Board approval.
- G. Site visits: A training program shall permit the Board, and its designee, including another state agency, to conduct an onsite scheduled evaluation for initial Board approval and renewal of approval in accordance with R4-19-804 and announced or unannounced site visits at any other time the Board deems necessary.

## R4-19-802. Curriculum CNA Program Requirements

- A. A nursing assistant training program shall provide at least 120 hours of instruction which can be met by the student completing either of the following:
  - 1. A 120 hour curriculum consisting of at least 60 hours of classroom instruction with clinical instruction that satisfies the requirements of R4-19-801(D)(2); or
  - 2. A curriculum of at least 60 hours of classroom instruction and 40 hours of supervised, clinical instruction that satisfies the requirements R4-19-801(D)(2), followed by a long-term care facility-based trainceship. The program coordinator shall ensure that the trainceship experience:
    - a. Consists of no more than 20 hours of the total 120 hours, and
    - 5. Is verified by the supervising nurse in a written document that contains the number of hours provided through the trainceship and confirmation that the student has demonstrated required skills and abilities, competently performed nursing assistant functions, and met course objectives.
- **B.** A nursing assistant training program shall provide a written curriculum plan to each student that includes overall course goals and for each required subject:
  - 1. Measurable learner-centered objectives,
  - 2. An outline of the material to be taught,
  - 3. The time allotted for each unit of instruction, and
  - 4. The learning activities or reading assignments.
- C. A nursing assistant training program shall provide classroom and clinical instruction regarding each of the following subjects:
  - 1. Communication, interpersonal skills, and documentation;
  - 2. Infection control;
  - 3. Safety and emergency procedures, including the Heimlich® maneuver and cardiopulmonary resuscitation instruction;
  - 4. Patient or resident independence;
  - Patient or resident rights, including:
    - a. The right to confidentiality;
    - b. The right to privacy;
    - e. The right to be free from abuse, mistreatment, and neglect;
    - d. The right to make personal choices;
    - e. The right to obtain assistance in resolving grievances and disputes;
    - f. The right to care and security of a patient's or resident's personal property; and
    - g. The right to be free from restraints;

- 6. Recognizing and reporting abuse, mistreatment or neglect to a supervisor;
- 7. Basic nursing assistant skills, including:
  - a. Taking vital signs, height, and weight;
  - b. Maintaining a patient's or resident's environment;
  - e. Observing and reporting pain;
  - d. Assisting with diagnostic tests;
  - e. Providing care for patients or residents with drains and tubes;
  - f. Recognizing and reporting abnormal changes to a supervisor;
  - g. Applying clean bandages;
  - h. Providing peri-operative care; and
  - i. Assisting in admitting, transferring, or discharging patients or residents.
- 8. Personal care skills, including:
  - a. Bathing, skin care, and dressing;
  - b. Oral and denture care;
  - e. Shampoo and hair care;
  - d. Fingernail care;
  - e. Toileting, perineal, and ostomy care; and
  - f. Feeding and hydration, including proper feeding techniques and use of assistive devices in feeding;
- 9. Age specific, mental health, and social service needs, including:
  - a. Modifying the nursing assistant's behavior in response to patient or resident behavior,
  - b. Demonstrating an awareness of the developmental tasks associated with the aging process,
  - e. Responding to patient or resident behavior,
  - d. Promoting patient or resident dignity,
  - e. Providing culturally sensitive care,
  - f. Caring for the dying patient or resident, and
  - g. Interacting with the patient's or resident's family;
- 10. Care of the cognitively impaired patient or resident including;
  - a. Addressing the unique needs and behaviors of patients or residents with dementia,
  - b. Communicating with cognitively impaired patients or residents,
  - e. Understanding the behavior of cognitively impaired patients or residents, and
  - d. Reducing the effects of cognitive impairment;
- 11. Skills for basic restorative services, including:
  - a. Body mechanics;
  - b. Resident self-care;
  - e. Assistive devices used in transferring, ambulating, eating, and dressing;
  - d. Range of motion exercises;
  - e. Bowel and bladder training;
  - f. Care and use of prosthetic and orthotic devices; and
  - g. Family and group activities;
- 12. Health care team member skills including time management and prioritizing work; and
- 13. Legal aspects of nursing assistant practice, including:
  - a. Board-prescribed requirements for certification and re-certification;
  - b. Delegation,
  - e. Ethics,
  - d. Advance directives and do-not-resuscitate orders, and
  - e. Standards of conduct under R4-19-814.
- 14. Body structure and function, together with common diseases and conditions of the elderly.
- **A** nursing assistant training program shall provide a student with a minimum of 16 hours instruction in the subjects identified in subsections (C)(1) through (C)(6) before allowing a student to care for patients or residents.
- E. A nursing assistant training program shall utilize a nursing assistant textbook that has been published within the previous five years.
- A. Organization and Administration
  - 1. A nursing assistant program may be offered by:
    - a. An educational institution accredited by the Arizona private post-secondary board,
    - b. A public educational institution or a program sponsored by a local, state or federal governmental agency.
    - c. A health care facility licensed by the Arizona Department of Health Services or a federally authorized health care facility.
    - d. A private individual, partnership or corporation that meets the requirements of this Article and all other legal requirements to operate a business in Arizona.

- 2. If a nursing assistant program is offered by a private individual, partnership or corporation, the program shall meet the following requirements.
  - a. Hold a surety bond from a surety company with a financial strength rating of "A-" or better by Best's Credit Ratings, Moody's Investor Service, Standard and Poor's rating service or another comparable rating services as determined by the Board in the amount of a minimum of \$15,000. The program shall ensure that:
    - i. Bond distributions are limited to students or former students with a valid claim for instructional or program deficiencies:
    - ii. The amount of the bond is sufficient to reimburse the full amount of collected tuition and fees for all students during all enrollment periods of the program; and
    - iii. The bond is maintained for an additional 24 months after program closure; and
  - b. Provide to the Board a satisfactory fire inspection report by the Office of the Arizona State Fire Marshall or an entity approved by the Arizona State Fire Marshall for each program classroom and skill lab location upon initial use and whenever the classroom or skill lab is re-modeled.
- 3. Subsection (A)(2) is effective immediately for programs applying for initial Board approval. Programs approved by the Board before the effective date of this Section shall comply with subsection (A)(2) within one year of the effective date. If a program does not charge tuition or fees, the bond requirement is waived.
- 4. A Medicare or Medicaid certified long-term care facility-based certified nursing assistant program shall not require a student to pay a fee for any portion of the program including the initial attempt on the state competency exam.
- 5. In addition to the policies required in R4-19-801 (B), the Board may approve a nursing assistant program to offer an advanced placement option to a student with a background in health care. A nursing assistant program wishing to offer an advance placement option shall submit their advanced placement policy to the Board and receive approval before implementing the policy. The program shall include, at a minimum, the following provisions in its policy:
  - a. Advanced placement is limited to students with at least one year full-time employment in the direct provision of health care within the past five years or students who have successfully completed course work that included direct patient care experiences in allied health, medicine or nursing in the past five years.
  - b. The program, at a minimum, shall require an advanced placement student to meet the same outcomes as regular students on all examinations and skill performance demonstrations.
  - c. The program shall require an advanced placement student to successfully accomplish all clinical objectives during a minimum of 16 hours of clinical practice under the direct supervision and observation of a qualified instructor and in a long-term care facility.
  - d. Upon successful completion of advanced placement and any other program requirements, the program shall credit the graduate with the same number of didactic, laboratory and clinical hours as the regular graduate.
- **B.** Program coordinator qualifications and responsibilities
  - 1. Program coordinator qualifications include:
    - a. Holding a current, registered nurse license that is active and in good standing or multi-state privilege to practice as an RN under A.R.S. Title 32, Chapter 15; and
    - b. Possessing at least two years of nursing experience at least one year of which is in the provision of long-term care facility services.
  - 2. A director of nursing in a health care facility may assume the role of a program coordinator for a nursing assistant training program that is housed in the facility but shall not function as a program instructor.
  - 3. A program coordinator's responsibilities include:
    - a. Supervising and evaluating the program;
    - b. Ensuring that instructors meet Board qualifications and there are sufficient instructors to provide for a clinical ratio not to exceed 10 students per instructor;
    - c. Ensuring that the program meets the requirements of this Article; and
    - d. Ensuring that the program meets federal requirements regarding clinical facilities under 42 CFR 483.151.
  - 4. Other than the director of nursing in a long-term care facility, a program coordinator may also serve as a program instructor.
- C. A program instructor qualifications and duties
  - 1. A program instructor qualifications include:
    - a. Holding a current, registered nurse license that is active and in good standing under A.R.S.Title 32, Chapter 15 and provide documentation of a minimum of one year full time or 1500 hours employment providing direct care as a registered nurse in any setting; and
    - b. At a minimum, one of the following:
      - i. Successful completion of a three semester credit course on adult teaching and learning concepts offered by an accredited post-secondary educational institution.
      - ii. Completion of a 40 hour continuing education program in adult teaching and learning concepts that was awarded continuing education credit by an accredited organization.
      - iii. One year of full-time or 1500 hours experience teaching adults as a faculty member or clinical educator, or

- iv. One year of full time or 1500 hours experience supervising nursing assistants, either in addition to or concurrent with the one year experience required in subsection (1).
- 3. In addition to the program instruction requirements in R4-19-801(C), a nursing assistant program instructor shall provide on-site supervision for each student placed in a health care facility not to exceed 10 students per instructor;

# **<u>D.</u>** Clinical and classroom hour requirements and resources

- 1. A nursing assistant training program shall ensure each graduate receives a minimum of 120 hours of total instruction consisting of:
  - a. <u>Instructor-led teaching in a classroom setting for a minimum of 40 hours:</u>
  - b. Instructor-supervised skills practice and testing in a laboratory setting for a minimum of 20 hours; and
  - c. Instructor-supervised clinical experiences for a minimum of 40 hours, consistent with the goals of the program. Clinical requirements include the following:
    - i. The program shall provide students with clinical orientation to any clinical setting utilized.
    - ii. The program shall provide a minimum of 20 hours of direct resident care in along term care facility licensed by the Department of Health Services, except as provided in subsection (iv). Direct resident care does not include orientation and clinical pre and post conferences.
    - iii. If another health care facility is used for additional required hours, the program shall ensure that the facility provides opportunities for students to apply nursing assistant skills similar to those provided to long-term care residents.
    - iv. If a long-term care facility licensed by the Department of Health Services is not available within 50 miles of the training program's classroom, the program may provide the required clinical hours in a facility or unit that cares for residents or patients similar to those residing in a long-term care facility.
  - d. To meet the 120 hour minimum program hour requirement, a CNA program shall designate an additional 20 hours to classroom, skill or clinical instruction based upon the educational needs of the program's students and program resources.
- 2. A nursing assistant training program shall ensure that equipment and supplies are in functional condition and sufficient in number for each enrolled student to practice required skills. At a minimum, the program shall provide:
  - a. Hospital-type bed, over-bed table, linens, linen protectors, pillows, privacy curtain, call-light and nightstand;
  - b. Thermometers, stethoscopes, including a teaching stethoscope, aneroid blood pressure cuffs, and a scale;
  - c. Realistic skill training equipment, such as a manikin or model, that provides opportunity for practice and demonstration of perineal care;
  - d. Personal care supplies including wash basin, towels, washcloths, emesis basin, rinse-free wash, tooth brushes, disposable toothettes, dentures, razor, shaving cream, emery board, orange stick, comb, shampoo, hair brush, and lotion:
  - e. Clothes for dressing residents including under garments, socks, hospital gowns, shirts, pants and shoes or non-skid slippers;
  - f. Elimination equipment including fracture bed pans, bed pans, urinals, ostomy supplies, adult briefs, specimen cups, graduate cylinder, and catheter supplies;
  - g. Aseptic and protective equipment including running water, sink, soap, paper towels, clean disposable gloves, surgical masks, particulate respirator mask for demonstration purposes, gowns, hair protectors and shoe protectors;
  - h. Restorative equipment including wheelchair, gait belt, walker, anti-embolic hose, adaptive equipment, and cane:
  - Feeding supplies including cups, glasses, dishes, straws, standard utensils, adaptive utensils and clothing protectors;
  - j. Clean dressings, bandages and binders; and
  - k. Documentation forms.

# **E.** Consolidated Programs

- 1. A nursing assistant program may request, in writing, to consolidate more than one site of a program under one program approval for convenience of administration. The site of a program is where didactic instruction occurs. The Board may approve the request for a consolidated program if all the following conditions are met:
  - a. The program is not based in a long-term care facility:
  - b. The program does not offer an innovative program as defined in R4-19-214 at any consolidated site;
  - c. A single RN administrator has authority and responsibility for all sites including hiring, retention and evaluation of all program personnel;
  - d. Curriculum and policies are identical for all sites;
  - e. <u>Instructional delivery methods are substantially similar at all sites;</u>
  - f. Didactic, lab practice and clinical hours are identical for all sites;
  - g. The program presents sufficient evidence that all sites have comparable resources, including classroom, skill lab, clinical facilities and staff. Evidence may include pictures, videos, documentation of equipment purchase and instructor resumes;
  - h. The program provides an application to the Board a minimum of 30 days before consolidation of the program or

- use of the new site;
- i. The site is fully staffed before accepting students;
- i. The program evaluates each site separately under R4-19-801(B)(9);
- k. The program arranges for the test vendor to provide a separate program number for each site:
- 2. There have been no substantiated complaints against the program or failure to follow the provisions of this Article in the past two years.
- 3. The program shall notify the Board if a site is closed or has not been used in two years.
- 4. A program that has been Board-approved as a consolidated program may request to add additional sites 30 days in advance of site utilization. The Board may approve the new site if the site meets the criteria in subsection (D)(1).
- 5. The Board may deny a request to consolidate programs or add a site if the requirements of this section are not met.

  Denial of such a request is not a disciplinary action and does not affect the program's approval status.
- 6. The Board shall not renew or visit any site that was not used in the previous approval period.
- **E.** Curriculum: a nursing assistant training program shall provide classroom and clinical instruction regarding each of the following subjects:
  - 1. Communication, interpersonal skills, and documentation;
  - 2. Infection control:
  - 3. Safety and emergency procedures, including abdominal thrusts for foreign body airway obstruction and cardiopulmonary resuscitation;
  - 4. Patient or resident independence;
  - 5. Patient or resident rights, including the right to:
    - a. Confidentiality;
    - b. Privacy:
    - c. Be free from abuse, mistreatment, and neglect;
    - d. Make personal choices;
    - e. Obtain assistance in resolving grievances and disputes:
    - f. Security of a patient's or resident's personal property; and
    - g. Be free from restraints;
  - 6. Recognizing and reporting abuse, mistreatment or neglect to a supervisor;
  - 7. Basic nursing assistant skills, including:
    - a. Taking vital signs, height, and weight using standing, wheelchair and bed scales;
    - b. Maintaining a patient's or resident's environment;
    - c. Observing and reporting pain;
    - d. Assisting with diagnostic tests including obtaining specimens;
    - e. Providing care for patients or residents with drains and tubes including catheters and feeding tubes;
    - Recognizing and reporting abnormal patient or resident physical, psychological, or mental changes to a supervisor;
    - g. Applying clean bandages:
    - h. Providing peri-operative care; and
    - i. Assisting in admitting, transferring, or discharging patients or residents.
  - 8. Personal care skills, including:
    - a. Bathing, skin care, and dressing;
    - b. Oral and denture care;
    - c. Shampoo and hair care;
    - d. Fingernail care;
    - e. Toileting, perineal, and ostomy care;
    - f. Feeding and hydration, including proper feeding techniques and use of assistive devices in feeding; and
  - 9. Age specific, mental health, and social service needs, including:
    - a. Modifying the nursing assistant's behavior in response to patient or resident behavior,
    - b. Demonstrating an awareness of the developmental tasks and physiologic changes associated with the aging process.
    - c. Responding to patient or resident behavior,
    - d. Allowing the resident or patient to make personal choices and providing and reinforcing other behavior consistent with the individual's dignity
    - e. Providing culturally sensitive care,
    - f. Caring for the dying patient or resident, and
    - g. Using the patient's or resident's family as a source of emotional support for the resident or patient;
  - 10. Care of the cognitively impaired patient or resident including;
  - a. <u>Understanding and addressing the unique needs and behaviors of patients or residents with dementia or other cognitive impairment.</u>

- b. Communicating with cognitively impaired patients or residents,
- c. Reducing the effects of cognitive impairment, and
- d. Appropriate responses to the behavior of cognitively impaired individuals.
- 11. Skills for basic restorative services, including:
  - a. Body mechanics;
  - b. Resident self-care;
  - c. Assistive devices used in transferring, ambulating and dressing:
  - d. Range of motion exercises;
  - e. Bowel and bladder training;
  - f. Care and use of prosthetic and orthotic devices; and
  - g. Turning and positioning a resident in bed, transferring a resident between bed and chair and positioning a resident in a chair.
- 12. Health care team member skills including the role of the nursing assistant and others on the health care team, time management and prioritizing work; and
- 13. Legal aspects of nursing assistant practice, including:
  - a. Board-prescribed requirements for certification and re-certification including criminal background checks, testing, Board application, felony bar under A.R.S. § 32-1606(B)(17), proof of legal presence, allotted time to certify and practice requirement for re-certification;
  - b. Delegation of nursing tasks.
  - c. Ethics,
  - d. Advance directives and do-not-resuscitate orders, and
  - e. Standards of conduct under R4-19-814.
- 14. Body structure and function, together with common diseases and conditions.
- **G.** Curriculum sequence: A nursing assistant training program shall provide a student with a minimum of 16 hours instruction in the subjects identified in subsections (I)(1) through (I)(6) before allowing a student to care for patients or residents.
- **H.** Skills: A nursing assistant instructor shall verify and document that the following skills are satisfactorily performed by each student before allowing the student to perform the skill on a patient or resident without the instructor present:
  - 1. Hand hygiene, gloving and gowning; and
  - 2. Skills in subsection (E)(7), (8) and (11)(a), (c), (d), (f), and (g).
- <u>I.</u> One-year approval: following receipt and review of a complete initial application as specific in R4-19-804 the Board may approve the program for a period that does not exceed one year, if requirements are met, without a site visit.
- J. A Medicare or Medicaid certified long-term care facility-based program shall provide in its initial and each renewal application, a signed, sworn, and notarized document, executed by the program coordinator, affirming that the program does not require a nursing assistant student to pay a fee for any portion of the program including the initial attempt on the state competency exam.

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- An applicant for initial nursing assistant training program approval shall submit an application packet to the Board at least 90 days before the expected starting date of the program. An applicant shall submit application documents that are unbound, typed or word processed, single-sided, and on white, letter-size paper. The Board does not accept notebooks, spiral bound documents, manuals, books, or tabulations.
- B. The application packet for initial program approval shall include all of the following:
  - 1. Name, address, telephone number, and fax number of program;
  - 2. Identity of the program as a long-term care facility-based or other program;
  - 3. Name, license number, telephone number and qualifications of the program coordinator required in R4-19-801;
  - 4. Name, license number, telephone number and qualifications of each program instructor required in R4-19-801;
  - 5. Name and telephone number of the person with administrative oversight of the nursing assistant training program;
  - 6. Accreditation status of the applicant, if any, including the name of the accrediting body and date of last review;
  - 7. Name, address, telephone number, contact person, Department of Health Services (DHS) status, and most recent DHS review for all health care institutions where program classroom or clinical instruction will take place;
  - 8. Medicare certification status, if any;
  - 9. Evidence of compliance with R4-19-801 and R4-19-802, including all of the following:
    - a. Program description, consistent with R4-19-801(B) (1) and an implementation plan, including timelines;
    - b. Classroom facilities, equipment, and instructional tools available, consistent with R4-19-801(D)(4); and
    - e. Written curriculum, consistent with R4-19-802;
    - d. A copy of the documentation that the program will use to verify nurse assistant skills for each student, consistent R4-19-801(B)(6)(a);
    - e. A copy of the document issued to the student upon completion of the program, consistent with R4-19-801(B)(5);

- f. Textbook author, name, year of publication, and publisher; and
- g. A copy of course policies, consistent with R4-19-801(B)(3) and, if applicable, R4-19-801(B)(4);
- 10. For a Medicare or Medicaid certified long-term care facility-based program, a signed, sworn, and notarized document, executed by a program coordinator, affirming that the program does not require a nursing assistant student to pay a fee for any portion of the program including the state competency exam.
- 11. For a Medicare or Medicaid long-term care facility-based program, the actual price of a textbook and other loaned equipment, if the program charges a student who does not return these items upon course completion, and any commercially available standard uniform, watch, pen, paper, duty shoes, and other commonly available personal items that are required for the course, for which a student may incur an expense.
- E. Following receipt and review of a complete application packet, the Board shall take one of the following actions:
  - 1. Schedule an onsite evaluation of the program and, if requirements are met, approve the program for a period not to exceed two years,
  - 2. Approve the program for a period that does not exceed one year if requirements are met, without an onsite visit, or
  - 3. Deny approval of the program if the applicant does not meet the requirements.
- **D.** A program shall not conduct classes before receiving program approval.
- E. If approval is in the best interest of the public, the Board shall grant initial approval to any applicant who meets requirements in A.R.S. Title 32, Chapter 15, and in this Article. If the Board denies approval, an applicant may request a hearing by filing a written request with the Board within 30 days of service of the Board's order denying the application for approval. Hearings shall be conducted in accordance with A.R.S. Title 41, Chapter 6, Article 10 and 4 A.A.C. 19, Article 6.
- A. Organization and Administration: A certified medication assistant (CMA) program may only be offered by those entities identified in A.R.S § 32-1650.01 (A).
- **B.** Instructor qualifications and duties
  - 1. A medication assistant program instructor shall:
    - a. Hold a current, registered nurse license that is active and in good standing or multi-state privilege to practice as an RN under A.R.S. Title 32, Chapter 15;
    - b. Possess at least two years or 3,000 hours of direct care nursing experience; and
    - c. Have administered medications to residents of a long-term care facility for a minimum of 40 hours.
  - 2. Duties of a medication assistant instructor include, but are not limited to:
    - <u>a.</u> Ensuring that the program meets the requirements of this Article;
    - b. Planning each learning experience;
    - c. Teaching a curriculum that meets the requirements of this Section;
    - d. Implementing student and program evaluation policies that meet or exceed the requirements R4-19-801(A)(9) and (10);
    - e. Administering not less than three secure unit examinations and one comprehensive final exam consistent with the course curriculum and the requirements of R4-19-801(B)(3)(c) and;
    - f. Requiring each student to demonstrate satisfactory performance of all critical elements of each skill in subsection (D)(4) before allowing a student to perform the skill on a patient or resident without the instructor's presence and direct observation;
    - g. Being physically present and attentive to students in the classroom and clinical setting at all times during all sessions.
  - 3. A program instructor shall supervise only one student for the first 12 hours of each student's clinical experience; no more than three students for the next 12 hours of each student's clinical experience; and no more than five students for the next 16 hours of each student's clinical experience;
- C. Clinical and classroom hour requirements and resources
  - 1. A medication assistant training program shall ensure each graduate received a minimum of 100 hours of total instruction consisting of:
    - a. <u>Instructor-led didactic instruction for a minimum of 45 hours;</u>
    - b. Instructor supervised skill practice and testing for a minimum of 15 hours;
    - c. <u>Instructor supervised medication administration for a minimum of 40 hours in a long term care facility licensed by the Department of Health Services:</u>
  - 2. A medication assistant program shall ensure that equipment and supplies are in functional condition and sufficient in number for each enrolled student to practice required skills in subsections (E)(3) and (E)(4). At a minimum, the program shall provide the following:
    - a. A medication cart similar to one used in the clinical practice facility:
    - b. Simulated medications and packaging consistent with resident medications;
    - c. Pill crushers, pill splitters, medication cups and hand hygiene supplies;
    - d. Medication administration record forms; and
    - e. Current drug references, calculator and any other equipment used to administer medications safely.

- <u>D.</u> Curriculum: a medication assistant training program shall provide classroom and clinical instruction in each of the following subjects.
  - 1. Role of certified medication assistant (CMA) in Arizona including allowable acts, conditions, delegation and restrictions;
  - <u>2.</u> <u>Principles of medication administration including:</u>
    - a. Terminology,
    - b. Laws affecting drug administration.
    - c. Drug references,
    - d. Medication action,
    - e. Medication administration across the human lifespan,
    - f. Dosage calculation,
    - g. Medication safety,
    - h. Asepsis, and
    - i. Documentation.
  - Medication properties, uses, adverse effects, administration and care implications for the following types of medications:
    - a. Vitamins, minerals, and herbs,
    - b. Antimicrobials,
    - c. Eye and ear medications,
    - d. Skin medications,
    - e. Cardiovascular medications,
    - f. Respiratory medications,
    - g. Gastrointestinal medications,
    - h. Urinary system medications and medications to attain fluid balance,
    - i. Endocrine/reproductive medications,
    - j. Musculoskeletal medications,
    - k. Nervous system/sensory system medications, and
    - 1. Psychotropic medications.
  - 4. Medication administration theory and skill practice in administration of:
    - a. Oral tablets, capsules, and solutions;
    - b. Ear drops, eye drops and eye ointments;
    - c. <u>Topical lotions</u>, ointments and solutions;
    - d. Rectal suppositories; and
    - e. Nasal drops and sprays.
  - Any other topics deemed by the program or the Board as necessary and pertinent to the safe administration of medications.

# R4-19-804. Renewal of Approval of Nursing Assistant Training Programs Initial Approval and Renewal of Approval of Training Programs

- A. A nursing assistant training program applying for renewal of approval shall submit an application packet to the Board before expiration of the current approval. An applicant shall submit application documents that are unbound, typed or word processed, single-sided, and on white, letter-size paper. The Board does not accept notebooks, spiral bound documents, manuals, books, or tabulations.
  - 1. The application packet shall include the following:
    - a. A program description and course goals;
    - b. Name, license number, and qualifications under R4-19-801 of the current program coordinator and instructors, required in R4-19-801;
    - e. A copy of the current curriculum plan, which meets the requirements in R4-19-802;
    - d. Number of classes held, number of students who have completed the program, and the results of the state-approved written and manual skills tests, including first-time pass rate since the last program review;
    - e. A copy of course policies, consistent with R4-19-801;
    - f. Any change in resources, contracts, or clinical facilities since the previous approval;
    - g. A copy of current student program evaluation forms, a summary of the evaluations for each cohort group, and measures taken by the program, if any, to improve the program based on student and instructor evaluation;
    - h. A sample of the certificate of completion issued to a graduate of the program containing the information required by R4-19-801(B)(5); and
    - i. Textbook author, name, year of publication, and publisher.
  - 2. Following receipt of the application packet, a Board representative shall review the application packet for completeness under subsection (A)(1). In addition to the other requirements in this Section, an applicant shall provide evidence

of at least one of the following to provide a complete application packet:

- a. That at least one person has completed the program and the state-approved written and manual skills exam within the previous approval period;
- b. If no graduates of the program completed the state-approved written and manual skills exam in the previous approval period, an explanation why Board approval is necessary for public protection, and a comprehensive plan to assist students to apply for testing and certification; or
- e. If the program did not graduate any students in the previous approval period, a detailed plan including dates, marketing tools, and instructor name, which indicates that the program will be offered within the next six months.
- 3. Upon receipt and review of a complete application packet the Board, through its authorized representative, shall evaluate the entity offering the program either in-person or by conference call. If a program is to be evaluated by means of a conference call, the Board shall issue a comprehensive request for information to the program for all of the following:
- 4. A program that is evaluated by means of a conference call shall ensure that both the coordinator and all instructors are available to participate in the call.
  - a. A description of the classroom, supplies, and recordkeeping;
  - b. A copy of the records of three students; and
  - e. A copy of the course schedule for each cohort group.
- 5. A Board representative shall evaluate each program and program site in-person at least once every four years. If a program or program site has received an in-person evaluation for the previous approval, no concerns are identified in the site-visit report, and there have been no complaints filed with the Board for two years following the approval, the program is eligible for a conference call evaluation.
- **B.** Following a conference call or onsite evaluation, the Board shall renew program approval for two years if a program complies with R4-19-801 and R4-19-802 and renewal is in the best interest of the public. If the program does not comply, the Board shall issue a notice of deficiency under R4-19-805.
- C. If the Board denies renewal of approval, a program may request a hearing by filing a written request with the Board within 30 days of service of the Board's order denying the application for renewal of approval. Hearings shall be conducted in accordance with A.R.S. Title 41, Chapter 6, Article 10 and 4 A.A.C. 19, Article 6.
- An applicant for initial training program approval shall submit an application packet to the Board at least 90 days before the expected starting date of the program. An applicant shall submit application documents that are unbound, typed or word processed, single-sided, and on white, letter-size paper plus one electronic copy of the entire packet. The Board does not accept notebooks, spiral bound documents, manuals or books.
- **B.** The Board may impose disciplinary action including denial on any training program that has advertised, conducted classes, recruited or collected money from potential students before receiving Board approval or after expiration of approval except for completing instruction to students who enrolled before the expiration date.
- C. A program applying for initial approval shall include all of the following in their application packet:
  - 1. Name, address, web address, telephone number, email address and fax number of the program:
  - 2. <u>Identity of all program owners or sponsoring institutions</u>;
  - 3. Name, license number, telephone number, email address and qualifications of the program coordinator as required in R4-19-802;
  - 4. Name, license number, telephone number, e-mail address and qualifications of each program instructor including clinical instructors as required in either R4-19-802 for CNA programs or R4-19-803 for CMA programs;
  - 5. Name, telephone number, e-mail address and qualifications any person with administrative oversight of the training program, such as an owner, supervisor or director;
  - 6. Accreditation status of the training program, if any, including the name of the accrediting body and date of last review;
  - 7. Name, address, telephone number and contact person, for all health care institutions which will be clinical sites for the program;
  - 8. Medicare certification status of all clinical sites, if any:
  - 9. Evidence of program compliance with this Article including all of the following:
    - a. Program description that includes the length of the program, number of hours of clinical, laboratory and class-room instruction, and program goals consistent with federal, state, and if applicable, private postsecondary requirements;
    - b. A list and description of classroom facilities, equipment, and instructional tools the program will provide;
    - c. Written curriculum and course schedule according to the provisions of this Article;
    - d. A copy of the documentation that the program will use to verify student attendance, instructor presence and skills;
    - e. Copy of signed, current clinical contracts;
    - f. The title, author, name, year of publication, and publisher of all textbooks the program will require students to

use;

- g. A copy of course policies and any other materials that demonstrate compliance with this Article and the statutory requirements in Title 32, Chapter 15;
- h. A plan to evaluate the program that meets requirements in R4-19-801(A)(10):
- i. An implementation plan including start date and a description of how the program will provide oversight to ensure all requirements of this Article are met;
- j. A self-assessment checklist of the application contents and their location in the application, on a form provided by the Board; and
- <u>k.</u> Other requirements as requested consistent with R4-19-802 for nursing assistant programs and R4-19-803 for medication assistant programs.

## **D.** Renewal of Approval of Training Programs

- 1. A training program applying for renewal of approval shall submit a paper and electronic application and accompanying materials to the Board before expiration of the current approval. The applicant program shall ensure that all documents submitted are unbound, typed or word processed, single-sided, and on white, letter-size paper. The Board does not accept notebooks, spiral bound documents, manuals or books. A program or site of a consolidated program that did not hold any classes in the previous approval period is not eligible for renewal of approval.
- 2. The program shall include the following with the renewal application:
  - a. A program description and course goals;
  - b. Name, license number, and qualifications of current program personnel:
  - c. A copy of the current curriculum which meets the applicable requirements in either R4-19-802 or R4-19-803;
  - d. The dates of each program offering, number of students who have completed the program, and the results of the state-approved written and manual skills tests, including first-time pass rates since the last program review:
  - e. A copy of current program policies, consistent with R4-19-801;
  - f. Any change in resources, contracts, or clinical facilities since the previous approval or changes that were not previously reported to the Board;
  - g. The program evaluation plan with findings regarding required evaluation elements under R4-19-801(A)(10);
  - h. The title, author, year of publication, and publisher of the textbook used by the program;
  - i. Copies of the redacted records of 1 program graduate:
  - i. The total number of enrolled students and graduates for each year since the last approval;
  - k. The total number of persons taking the state-approved exam in the past two years; if the number is less than 10, a comprehensive plan to increase program enrollment;
  - 1. A self-assessment checklist of the application contents and their location in the application, on a form provided by the Board; and
  - m. Other requirements as requested consistent with R4-19-802 for nursing assistant programs and R4-19-803 for medication assistant programs.
- E. Upon determination of administrative completeness of either an initial or renewal application, the Board, through its authorized representative, shall schedule and conduct a site visit of a CNA program, unless one year only approval is granted on an initial application. The Board may conduct a site visit of a CMA program. Site visits are for the purpose of verifying compliance with this Article. Site visits may be conducted in person or through the use of distance technology.
- Following an evaluation of the program application and a site visit, if applicable, the Board may approve or renew the approval of the program for two years for a nursing assistant program and up to four years for a medication assistant program, if the program renewal application and site visit findings, as applicable, meet the requirements of this Article, and A.R.S. Title 32, Chapter 15 and renewal is in the best interest of the public. If the program does not meet these requirements, the Board may issue a notice of deficiency under R4-19-805 or take disciplinary action.
- **G.** A program may request an administrative hearing by filing a written request with the Board within 30 days of service of the Board's order denying the application for program approval or renewal of approval. Hearings shall be conducted in accordance with A.R.S. Title 41, Chapter 6, Article 10 and 4 A.A.C. 19, Article 6.
- **<u>H.</u>** The owner, operator, administrator or coordinator of a program that is denied approval or renewal of approval shall not be eligible to conduct, own or operate a new or existing program for period of five years from the date of denial.

# R4-19-805. Deficiencies and Rescission of Program Approval, <u>Unprofessional Program Conduct</u>, Voluntary Termination, Disciplinary Action, and Reinstatement

# A. Deficiencies and rescission of approval

- 1. <u>Upon</u> determining that a nursing assistant training program does not comply has not complied with this Article, the Board shall may provide the program coordinator or an administrator who supervises the program with issue a written notice of deficiency to the program. The Board shall establish a reasonable period of time, based upon the number and severity of deficiencies, for correction of the deficiencies. Under no circumstances, however, shall the period for correction of deficiencies exceed three six months from the date of graduation of the next training class.
  - a. Within 10 ten days from the date that the notice of deficiency is served, the program shall file submit a plan of

- correction with to the Board.
- b. The Board, through its authorized representative, may approve the plan of correction or require modifications to the plan if the plan does not adequately address the deficiencies.
- bc. The Board may conduct periodic evaluations <u>and site visits</u> during the period of correction to ascertain <u>the program's</u> progress <u>in toward</u> correcting the deficiencies.
- ed. The Board shall conduct at least one evaluation evaluate the program's compliance, immediately at a regularly scheduled Board meeting following the period of correction to determine whether the program has corrected the deficiencies.
- 2. The Board may rescind the approval of a nursing assistant training program or take other disciplinary action under A.R.S. § 32-1663, based on the number and severity of violations for any of the following reasons if the program engages in any of the following:
  - a. Failure to file submit a plan of correction with to the Board within 10 ten days of service of a notice of deficiency.
  - b. Failure to comply with R4-19-801 or R4-19-802 the requirements of this Article within the period set by the Board in the notice of deficiency;
  - c. Noncompliance with federal, state, or if applicable, private postsecondary requirements;
  - d. Failure to permit a scheduled or unannounced onsite evaluation Board site visit or failure to allow a Board representative access to program documents, staff or students during a site visit or investigation, authorized by subsection R4-19-801(E);
  - e. Loaning or transferring <u>Board</u> program approval to another entity or facility, including a facility with the same ownership;
  - f. Conducting Offering, advertising, recruiting, or enrolling students in a nursing assistant training program before Board approval is granted;
  - g. Conducting a nursing assistant training program after expiration of <u>Board</u> approval without filing an application for renewal of approval before the expiration date; or
  - h. If the For a long-term care based nursing assistant program, is conducted by a long-term care facility, charging for any portion of the program.
  - i. Committing an act of unprofessional program conduct.

# **B.** Unprofessional program conduct

A notice of deficiency or a disciplinary action including denial of approval or rescission of approval may be issued against a training program for any of the following acts of unprofessional conduct:

- 1. Failing to maintain minimum standards of acceptable and prevailing educational practice;
- 2. Any violation of this Article;
- 3. Utilization of students as labor rather than for educational purposes in a health care facility;
- 4. Failing to follow the program's or parent institution's mission or goals, program design, objectives, or policies;
- 5. Failing to provide the classroom, laboratory or clinical teaching hours required by this Article or described in the program description;
- 6. Enrolling students in a program without adequate faculty, facilities, or clinical experiences, as required by this Article;
- 7. Permitting unqualified persons to supervise teaching-learning experiences in any portion of the program:
- 8. Failing to comply with Board requirements within designated time-frames;
- 9. Engaging in fraud, misrepresentation or deceit in advertising, recruiting, promoting or implementing the program;
- 10. Making a false, inaccurate or misleading statement to the Board or the Board's designee in the course of an investigation, or on any application or information submitted to the Board or on the program's public website;
- 11. Failing to supervise students in the clinical setting in accordance with this Article or allowing more than the maximum students per clinical instructor prescribed in this Article;
- 12. Engaging in any other conduct that gives the Board reasonable cause to believe the program's conduct may be a threat to the safety or welfare of students, faculty, patients or the public.
- 13. Failing to:
  - a. Furnish in writing a full and complete explanation of a matter reported pursuant to A.R.S. § 32-1664, or
  - b. Respond to a subpoena issued by the Board;
- 14. Failing to take appropriate action to safeguard a patient's or resident's welfare or follow policies and procedures of the program or clinical site designed to safeguard the patient or resident:
- 15. Failing to promptly provide make-up classroom, laboratory, or clinical hours, with adequate notice to students, equivalent educational content, and reasonable scheduling, when shortages of hours were caused by the program or program instructors;
- 16. Failing to promptly remove, or adequately discipline or train, program instructors whose conduct violates this Article or may be a threat to the safety or welfare of students, patients, residents, or the public.
- 17. Engaging in retaliatory, threatening, or intimidating conduct toward current, prospective or former program students,

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instructors, other staff, or the public, who make complaints about any aspect of the program to program staff or the Board.

# C.3. Disciplinary Action

If the Board rescinds approval issues disciplinary action against the approval of a nursing assistant or medication assistant training program, the program may request a hearing by filing a written request with the Board within 30 days of service of the Board's order rescinding approval. Hearings shall be conducted in accordance with A.R.S. Title 41, Chapter 6, Article 10, and 4 A.A.C. 19, Article 6.

4. If the Board reseinds approval of a nursing assistant training program, the program shall not seek reinstatement for two years from the date of the reseission.

### **BD**. Voluntary termination

- 1. If a nursing assistant training program is being voluntarily terminated terminating before renewal, the program eoordinator or an administrator who supervises the program shall submit a written notice of termination to the Board.
- 2. The program coordinator shall maintain continue the nursing assistant training program, including retaining necessary the instructors, until the last student is transferred or has completed the nursing assistant training program.
- 3. Within 15 days after the termination of a training program, the administrator or a program representative shall notify the Board in writing of the permanent location and availability of all program records.
- 4. A program that fails to renew its approval with the Board shall be considered voluntarily terminated unless there is a complaint against the program.

# E. Reinstatement Re-issuance of approval

- 1. If the Board rescinds revokes the approval of a nursing assistant training program, the program owner, administrator or coordinator of the revoked program may apply for reinstatement re-issuance of program approval after a period of two five years by complying with the requirements of this Article. The owner, administrator and coordinator of a program that had its approval revoked shall not own, administer or coordinate a training program for a period of five years from the date of program revocation.
- 2. If the Board, in lieu of revocation, accepts a voluntarily surrender of a program's approval, the program's owner, administrator or coordinator may apply for reissuance of the program's approval after a period of three years. The owner, administrator and coordinator of a program that voluntarily surrendered its approval shall not own, administer or coordinate a training program for a period of three years from the date of the surrender of approval.
- 2.3. The applicant A training program owner, administrator or coordinator whose program was voluntarily surrendered or that had its approval rescinded or revoked shall submit a complete reissuance application packet in writing that contains all of the information and documentation required of programs applying for initial approval by R4-19-803(B). The applicant In addition, the program shall provide substantial evidence that the basis for rescission no longer exists and that reinstatement reissuance of the program approval is in the best interest of the public.
- 3.4. Unless the basis for rescission still exists, the The Board shall may reinstate reissue approval to a nursing assistant training program that otherwise meets the requirements of this Article. A program that is denied reinstatement reissuance of approval may request a hearing by filing a written request with the Board within 30 days of service of the Board's order denying reinstatement. Hearings shall be conducted in accordance with A.R.S. Title 41, Chapter 6, Article 10 and 4 A.A.C. 19, Article 6.

#### R4-19-806. Nursing Assistant and Certification Medication Assistant Certification by Examination

- A. An applicant for certification by examination shall submit the following information and documentation to the Board:
  - 1. An application that contains all of the following information A verified application on a form furnished by the Board that provides the following information about the applicant:
    - a. Full legal name and any and all former names used by the applicant;
    - b. Current mailing address, including county of residence, e-mail address and telephone number;
    - c. Date Place and date of birth;
    - d. Social Security number;
    - e. Ethnic category and marital status at the applicant's discretion;
    - e <u>f</u>. Educational background, including the name of the training program attended, and date of graduation <u>and for medication assistant</u>, proof of high school or equivalent education completion as required A.R.S. § 32-1650-02 (A)(4);
    - £g. Current employer, including address and telephone number, type of position, and dates of employment, if employed in health care;
    - g.h. A list of all states in which the applicant is or has been registered as a nursing assistant or medication assistant and the certificate number, if any;
    - i. For medication assistant, proof of CNA certification and 960 hours or six months full time employment as a CNA in the past year, as required in A.R.S. § 32-1650.02;
    - hi. Responses to questions that address each of the regarding the applicant's background on the following subjects:
      - i. Any Current investigation or pending disciplinary action by a nursing, nursing assistant or medication assis-

- tant regulatory agency or nursing assistant regulatory agency in the United States or its territories or current investigation regarding the applicant's nursing license, nursing assistant license, or nursing assistant certificate in another state or territory of the United States;
- ii. Action taken on a nursing assistant or medication assistant license, certification or registry designation in any other state;
- <u>iiii</u>. Felony conviction or conviction of an undesignated or other similar offense and the date of absolute discharge of sentence; and
- iii v. Unprofessional conduct as defined in A.R.S. § 32-1601;
- v. Explanation and supporting documentation for each affirmative answer to questions regarding the applicant's background;
- iv. A written or electronic signature by the applicant on a statement attesting to the truthfulness of the information provided by the applicant.
- 2. Proof of satisfactory completion of a nursing assistant <u>or medication assistant</u> training program that meets the requirements in subsection (B) of this Article;
- 3. Proof of United States citizenship or alien status as specified in A.R.S. § 41-1080;
- 3.4. For CNA applicants, one One or more fingerprint cards or fingerprints, if required by A.R.S. § 32-1606 (16); and
- 5. For CMA applicants, one or more fingerprint cards or fingerprints, as required by A.R.S. § 32-1606 (15) if a finger-print background report has not been received by the Board in the past two years.
- 46. Applicable fees under A.R.S. § 32-1643 and R4-19-808.
- **B.** An applicant for certification as a nursing assistant shall submit a passing score on a Board-approved nursing assistant examination and provide one of the following criteria:
  - 1. Proof that the applicant has completed a Board-approved nursing assistant training program within the past two years;
  - 2. Proof that the applicant has completed a nursing assistant training program approved in another state or territory of the United States consisting of at least 120 hours within the past two years;
  - 3. Proof that the applicant has completed a nursing assistant program approved in another state or territory of the United States of at least 75 hours of instruction in the past two years and proof of working as a nursing assistant for an additional number of hours in the past two years that together with the hours of instruction, equal at least 120 hours; or
  - 4. Proof that the applicant either holds a valid nursing license in good standing in the U.S. or territories, has graduated from an approved nursing program, or otherwise meets educational requirements for a registered or practical nursing license in Arizona.
  - 5. Documentation sent directly from the program that the applicant successfully completed a nursing course or courses as part of an RN or LPN program approved in either in this or another state in the last two years that included:
    - a. Didactic content regarding long term care clients; and
    - b. Forty hours of instructor-supervised direct patient care in a long term care or comparable facility; or
  - 6. Documentation of a minimum of 100 hours of military health care training, as evidenced by military records, and proof of working in health care within the past two years.
- C. An applicant for medication assistant shall meet the qualifications of A.R.S. §§ 32-1650.02 and 32-1650.03. An applicant who wishes to use part of a nursing program in lieu of completion of a Board approved medication assistant training program under A.R.S. § 32-1650.02 shall submit the following:
  - 1. A official transcript from a Board approved nursing program showing a grade of C or higher in a 45 hour or three semester credit, or equivalent, pharmacology course; and
  - 2. A document signed by both the applicant's clinical instructor and the nursing program administrator verifying that the applicant completed 40 hours of supervised medication administration in a long term care facility.

## **CD.** Certifying Exam

- 1. A CNA applicant shall take and pass both portions of the certifying exam within two years:
  - a. Of program completion for graduates of nursing assistant programs approved in Arizona or another state, or
  - b. Of date of the first test for all other applicants.
- 2. A CMA applicant shall take and pass both portions of the certifying exam within one year:
  - a. Of program completion for graduates of Board-approved programs, or
  - b. Of the date of the first test for all other applicants.
- 3. An applicant may re-take the failed portion or portions of a certifying exam, under conditions prescribed in written policy by the exam vendor, until a passing score is achieved or their time expires under subsections (1) or (2).

An applicant who fails either the written or manual skills portion of the nursing assistant or examination may retake the failed portion of the examination until a passing score is achieved. An applicant shall pass both portions of the nursing assistant examination within two years from the date of completion of the nursing assistant training program or meet the requirements in subsection (D).

**<u>PE.</u>** An applicant who does not <u>take or pass</u> an examination within the time period specified in subsection (<u>C</u>) (<u>D</u>) shall <u>repeat enroll in and successfully complete a <u>Board approved</u> training program <u>in the certification category</u> before being permitted to retake an examination.</u>

- E. An CNA applicant who has never taken the examination and provides proof of at least 160 hours of employment as a nursing assistant for every two-year period since completing a state-approved nursing assistant training program meets federal requirements to take the written and manual skills nursing assistant examination.
- **F.** The Board shall may certify an applicant who meets the applicable criteria in this Article and A.R.S. Title 32, Chapter 15 if certification is in the best interest of the public. A CNA who qualifies for a CMA certificate shall be issued a combined CNA-CMA certificate.
- **G.** An applicant who is denied nursing assistant certification may request a hearing by filing a written request with the Board within 30 days of service of the Board's order denying the application for certification. Hearings shall be conducted in accordance with A.R.S. Title 41, Chapter 6, Article 10 and 4 A.A.C. 19, Article 6.
- **H.** Medication assistant certification expires when nursing assistant certification expires. CMA applicants whose nursing assistant certification will expire within 12 months of initial issuance, shall pay a pro-rated fee for medication assistant certification.

## R4-19-807. Nursing Assistant and Medication Assistant Certification by Endorsement

- **A.** An applicant for nursing assistant certification by endorsement shall submit all of the information, documentation, and fees required in R4-19-806.
- **B.** An applicant whose current who has been employed for employment is less than one year shall list all employers during the past two years.
- C. An applicant for nursing assistant certification by endorsement shall meet the training program criteria in R4-19-806(B). An applicant for combined nursing assistant and medication assistant endorsement shall, in addition, provide evidence satisfactory completion of a training program that meets the requirements of A.R.S. § 32-1650.04 and pass a competency examination as prescribed in A.R.S. § 32-1650.03.
- **D.** In addition to the other requirements of this Section, an applicant for certification by endorsement shall provide evidence that the applicant:
  - 1. Is <u>or has been, within the last two years,</u> listed as active on a nursing assistant register or a substantially equivalent register by another state or territory of the United States <u>with no substantiated complaints or discipline</u>; and
  - 2. For nursing assistant, meets Meets one or more of the following criteria:
    - a. Currently is working in nursing, performing nursing assistant activities, whether the job description or job title
      includes the term certified nursing assistant;
    - b. Has worked in nursing, performing nursing assistant activities; whether the job description or job title included the term "certified nursing assistant" for at least 160 hours within the past two years; or Regardless of job title or description, performed nursing assistant activities for a minimum of 160 hours for an employer or as part of a nursing or allied health program in the past two years; or
    - e.b. Has completed a nursing assistant training program and passed the required examination within the past two years.
  - 3. <u>In addition to the above requirements, for combined nursing assistant and medication</u> assistant certification, meets the practice requirements of A.R.S. § 32-1650.04 and pays applicable fees under R4-19-808.
- **E.** The Board shall may certify an applicant who meets the applicable criteria in this Article if certification is in the best interest of the public.
- F. An applicant who is denied nursing assistant certification may request a hearing by filing a written request with the Board within 30 days of service of the Board's order denying the application for certification. Hearings shall be conducted in accordance with A.R.S. Title 41, Chapter 6, Article 10 and 4 A.A.C. 19, Article 6.

## R4-19-808. Temporary Certificate Fees Related to Certified Medication Assistant

- A: Subject to subsection (B), the Board may issue a temporary nursing assistant certificate to an applicant who desires to work as a certified nursing assistant based on receipt or consideration of the following:
  - 1. A report from the Arizona Department of Public Safety, verifying that the Department has no criminal history record information, as that term is defined in A.R.S. § 41-1701, regarding the applicant; and
  - 2. An application for temporary nursing assistant certificate, furnished by the Board and completed by the applicant;
  - 3. The fee required under A.R.S. § 32-1643(A)(9); and
  - 4. Evidence that the applicant is qualified for:
    - a. Certification by endorsement under A.R.S. § 32-1648 and R4-19-807, through submission of documentation or an official statement from another state or territory of the United States, verifying that the applicant has a current certificate or an equivalent document from that state or territory; or
    - b. Certification by examination under A.R.S. § 32-1645 and R4-19-806.
- **B.** An applicant who discloses a disciplinary charge, substantiated complaint, criminal conviction, substance abuse, pending disciplinary charge, or a substantiated complaint by a regulatory agency, is not eligible for a temporary certificate without prior Board approval.
- C. Unless extended for good cause under subsection (D), a temporary certificate is valid for three months.

- **D.** A temporary certificate holder may apply and the Board or the Executive Director may grant an extension for good cause. Good cause means reasons beyond the control of the temporary certificate holder, such as unanticipated delays in obtaining information required for nursing assistant certification.
- **A.** The Board shall collect the following fees related medication assistant certification:
  - 1. Initial application for certification by exam, \$50.00,
  - 2. Fingerprint processing, \$50.00.
  - 3. Renewal CNA-CMA certificate, \$50.00.
  - 4. Renewal fee after expiration CNA-CMA certificate, \$25.00 plus an additional \$25 for each month lapsed.
  - 5. Application for certification by endorsement, \$50.00.
  - 6. Verification of certification to another state, \$50.00.
  - 7. <u>Issuing a duplicate certificate</u>, \$25.00.
- **B.** If an individual or entity submits a dishonored check, draft order or note, the Board may collect, from the provider of the instrument, the amount allowed under A.R.S. § 44-6842.

## R4-19-809. Certified Nursing Assistant and Medication Assistant Certificate Renewal

- A. A certified nursing assistant may apply for renewal of a certificate by submitting an application to the Board on or before the expiration date of the certificate An applicant for renewal of a certified nursing assistant CNA certificate or a combined CNA and CMA certificate shall:
  - 1. The application packet shall include all of the following Submit a verified application to the Board on a form furnished by the Board that provides all of the following information about the applicant:
    - a. Full legal name, mailing address including county of residence, e-mail address and telephone number;
    - b. Current address, including county of residence, and telephone number Marital status and ethnicity at the applicant's discretion;
    - e. Date of birth;
    - d.c. Current health care employer including name, address, telephone number, dates of employment and type of setting;
    - e.d. If the applicant has not been employed as a nursing assistant, or performed nursing assistant activities, whether the job description or the job title included the term certified nursing assistant, as specified in fails to meet the practice requirements in subsection subsections (A)(2) for nursing assistant or (A)(3) for combined nursing assistant and medication assistant renewal, documentation that the applicant has completed a Board-approved nursing assistant training program for the certification sought and passed both the written and manual skills portions of the competency examination within the past two years;
    - f.e. Responses to questions that address the following subjects applicant's background:
      - i. Pending Any investigation or disciplinary action by a nursing regulatory agency or nursing assistant regulatory agency in the United States or its territories not previously disclosed by the applicant to the Board; or current investigation of the applicant's nursing license, nursing assistant license, or nursing assistant certificate in another state or territory of the United States,
      - ii. Felony conviction or conviction of undesignated offense and date of absolute discharge of sentence since certified or last renewed, and
      - iii. Unprofessional conduct <u>committed by the applicant</u> as defined in A.R.S. § 32-1601 <u>since the time of last renewal and not previously disclosed by the applicant to the Board;</u>
      - iv. Any disciplinary action or investigation related to the applicant's nursing license or nursing assistant or medication assistant license, certificate or registry listing by any other state regulatory agency since the last renewal and not previously disclosed to the Board.
      - v. Explanation and supporting documentation for each affirmative answer to questions regarding the applicant's background;
    - g. A written or electronic signature by the applicant on a statement attesting to the truthfulness of the information provided.
  - 2. For CNA renewal, Documentation of proof of employment as a nursing assistant, performing nursing assistant tasks for an employer such as a pay stub, W-2 form, or letter from an employer that verifies the applicant's employment as a nursing assistant or the applicant's performance of nursing assistant activities as part of a nursing or allied health program for a minimum of 160 hours within the past every two years since the last certificate was issued, and or
  - 3. For combined CMA and CNA renewal, employment as a medication assistant for a minimum of 160 hours within the last two years, and
  - 34. Applicable fees <u>under A.R.S. § 32-1643 and R4-19-808.</u>
- **B.** The certificate of a nursing assistant who fails to renew expires on the last day of the month of a certificate holder's birthdate. A nursing assistant certificate and a combined medication assistant-nursing assistant certificate expire every two years on the last day of the certificate holder's birth date month. If a certificate holder fails to timely renew the certificate, the certificate holder shall;

- 1. A nursing assistant's responsibility to renew is not relieved by the nursing assistant's failure to obtain an application.
- 21. A nursing assistant who fails to renew shall not Not work or practice as a eertified nursing assistant CNA or CMA until the Board issues a renewal certificate; and
- 32. Pay any late fee imposed by the Board. Based on consideration of a nursing assistant's record regarding timely renewal, the Board may impose a late fee on a nursing assistant who fails to renew certification in a timely manner.
- C. If an applicant holds a license or held a license or certificate that has been or is currently revoked, surrendered, denied, suspended or placed on probation in another jurisdiction, the applicant is not eligible to renew or reactivate the applicant's Arizona certificate until a review or investigation has been completed and a decision made by the Board.
- **D.** The Board may renew the certificate of an applicant who meets the criteria established in statute and this Article. An applicant who is denied renewal of a certificate may request a hearing by filing a written request with the Board within 30 days of service of the Board's order denying renewal of the license. Hearings shall be conducted in accordance with A.R.S. Title 41, Chapter 6, Article 10.

## **R4-19-810.** Certified Nursing Assistant Register

- A. The Executive Director shall include the following information in the Register for each individual who receives Board certification:
  - 1. Full legal name and any other names used;
  - 2. Address of record;
  - 3. County of residence;
  - 4. The date of initial placement on the register;
  - 5. Dates and results of both the written and manual skills portions of the nursing assistant competency examination;
  - 6. Date of expiration of current certificate, if applicable;
  - 7. Existence of pending investigation, if applicable; and
  - 8. Status of certificate, such as active, denied, expired, or revoked, as applicable.
- **B.** The Executive Director shall include the following information in the Register for an individual if the Board, or the United States Department of Health and Human Services (HHS), or the Arizona Department of Health Services finds that the individual has violated relevant law:
  - 1. For a finding by the Board or HHS, the Executive Director shall include:
    - a. The finding, including the date of the decision, and a reference to each statute, rule, or regulation violated; and
    - b. The sanction, if any, including the date of action and the duration of action, if time-limited.
  - 2. For a finding by the Arizona Department of Health Services, the Executive Director shall include:
    - a. The allegation;
    - b. Documentation of the investigation, including the:
      - i. Nature of allegation, and
      - ii. Evidence Description of evidence supporting the finding;
    - c. Date of hearing, if any, or the date that the complaint was substantiated;
    - d. Statement disputing the allegation, if any;
    - e. The finding, including the date of the decision and a reference to each statute or rule violated; and
    - f. The sanction, including the dates of action and the duration of the sanction, if time-limited.

# **R4-19-811.** Application for Duplicate Certificate

- **A.** A <u>certified nursing assistant certificate holder</u> shall report a lost or stolen certificate to the Board <u>in writing or electronically through the Board's website</u>, within 30 days of discovery of the loss.
- **B.** A certified nursing assistant shall make a written request for a An individual requesting a duplicate certificate shall file an application on a form provided by the Board for a duplicate certificate to the Board, provide a notarized signature or proof of identification, and pay the applicable fee under A.R.S. § 32-1643(A)(14).

# **R4-19-812.** Change of Name or Address

- A. An applicant or a certified nursing assistant or certificate holder shall notify the Board, in writing or electronically through the Board's website of any legal name change, who legally changes names, shall notify the Board in writing within 30 days of any name the change. and The applicant or certified nursing assistant shall submit a copy of any the official document evidencing verifying the name change.
- **B.** An applicant or a <u>certified nursing assistant certificate holder</u> shall notify the Board in writing <u>or electronically through the Board's website of any change of address</u> within 30 days of <del>any the</del> address change.

### R4-19-813. Performance of Nursing Assistant Tasks; Performance of Medication Assistant Tasks

- **A.** A certified nursing assistant may perform the following <u>tasks as delegated by a licensed nurse</u>:
  - 1. Tasks for which the nursing assistant has been trained through the curriculum identified in R4-19-802, and
  - 2. Tasks learned through inservice or educational training if the task meets the following criteria and the nursing assistant has demonstrated competence performing the task:

- a. The task can be safely performed according to clear, exact, and unchanging directions;
- b. The task poses minimal risk to the patient or resident and the consequences of performing the task improperly are not life-threatening or irreversible;
- c. The results of the task are reasonably predictable; and
- d. Assessment, interpretation, or decision-making is not required during the performance or at the completion of the task.
- **B.** A nursing assistant may not perform any task that requires a judgment based on nursing knowledge, such as the administration of medications. A nursing assistant who is also certified as a medication assistant under A.R.S. § 32-1650.02 may administer medications under the conditions of A.R.S. §§ 32-1650 to 32-1650.07.
- C. A nursing assistant certificate holder under this Article shall:
  - 1. Recognize the limits of the nursing assistant's the certificate holder's personal knowledge, skills, and abilities;
  - 2. Comply with laws relevant to nursing <u>assistant and medication</u> assistant practice;
  - 3. Inform the registered nurse, licensed practical nurse, or another person authorized to delegate the task about the nursing assistant's certificate holder's ability to perform the task before accepting the assignment;
  - 4. Accept delegation, instruction, and supervision from a professional or practical licensed nurse or another person authorized to delegate a task;
  - 5. Not perform any task that requires a judgment based on nursing knowledge;
  - 5.6. Acknowledge responsibility for personal actions necessary to complete an accepted assigned task;
  - 6.7. Follow the plan of care, if available;
  - 7.8. Observe, report, and record signs, symptoms, and changes in the patient or resident's condition in an ongoing and timely manner; and
  - 8-9. Retain responsibility for the all assigned task tasks without delegating it any tasks to another person.

# R4-19-814. Standards of Conduct for Certified Nursing Assistants and Certified Medication Assistants

For purposes of A.R.S. § 32-1601(22)(d), a practice or conduct that is or might be harmful or dangerous to the health of a patient or the public and constitutes a basis for disciplinary action on a certificate includes the following:

- 1. Failing to maintain professional boundaries or engaging in a dual relationship with a patient, resident, or any member of the patient's or resident's family;
- 2. Engaging in sexual conduct with a patient, resident, or any member of the patient's or resident's family who does not have a pre-existing relationship with the nursing assistant certificate holder, or any conduct in the work place while on duty or in the presence of a patient or resident that a reasonable person would interpret as sexual;
- 3. Leaving an assignment or abandoning a patient or resident who requires care without properly notifying the immediate supervisor;
- 4. Failing to accurately <u>and timely</u> document care and treatment provided to a patient or resident, <u>including</u>, for a CMA, <u>medications administered</u> or <u>not administered</u>;
- 5. Falsifying or making a materially incorrect entry in a health care record;
- 6. Failing to follow an employer's policies and procedures, designed to safeguard the patient or resident;
- 7. Failing to take action to protect a patient or resident whose safety or welfare is at risk from potential or actual incompetent health care practice, or to report the practice to the immediate supervisor or a facility administrator;
- 8. Failing to report signs, symptoms, and changes in patient or resident conditions to the immediate supervisor in an ongoing and timely manner;
- 9. Violating the rights or dignity of a patient or resident;
- 10. Violating a patient or resident's right of privacy by disclosing confidential information or knowledge concerning the patient or resident, unless disclosure is otherwise required by law;
- 11. Neglecting or abusing a patient or resident physically, verbally, emotionally, or financially;
- 12. Failing to immediately report to a supervisor and the Board any observed or suspected abuse or neglect, including a resident or patient's report of abuse or neglect;
- 12.13. Soliciting, or borrowing, property or money from a patient or resident, or any member of the patient's or resident's family, or the patient's or resident's guardian;
- 14. Soliciting or engaging in the sale of goods or services unrelated to the certificate holder's health care assignment with a patient or resident, or any member of the patient or resident's immediate family, or guardians;
- 13.15. Removing, without authorization, any money, property, or personal possessions, or requesting payment for services not performed from a patient, resident, employer, co-worker, or member of the public.
- 14.16. Repeated use or being under the influence of alcohol, medication, or any other substance to the extent that judgment may be impaired and practice detrimentally affected or while on duty in any work setting;
- 15.17. Accepting or performing patient or resident care tasks that the nursing assistant certificate holder lacks the education, or competence or legal authority to perform;
- 16.18. Removing, without authorization, narcotics, drugs, supplies, equipment, or medical records from any work setting;
- 47-19. Obtaining, possessing, using, or selling any narcotic, controlled substance, or illegal drug in violation of any

- employer policy or any federal or state law;
- 18.20. Permitting or assisting another person to use the nursing assistant's certificate holder's certificate or identity for any purpose;
- <u>19.21.</u>Making untruthful or misleading statements in advertisements of the individual's practice as a certified nursing assistant or certified medication assistant;
- 20.22. Offering or providing certified nursing assistant or certified medication assistant services for compensation without a designated registered nurse supervisor;
- 21.23. Threatening, harassing, or exploiting an individual;
- 22.24. Using violent or abusive behavior in any work setting;
- 23.25. Failing to cooperate with the Board during an investigation by:
  - a. Not furnishing in writing a complete explanation of a matter reported under A.R.S. § 32-1664;
  - b. Not responding to a subpoena or written request for information issued by the Board;
  - c. Not completing and returning a Board-issued questionnaire within 30 days; or
  - d. Not informing the Board of a change of address or phone number within 10 days of each change;
- 24.26.Cheating on the certification exam or Engaging providing false information on in fraud or deceit regarding the certification exam or an initial or renewal application for certification;
- 25.27. Making a written false or inaccurate statement to the Board or the Board's designee during the course of an investigation;
- 26.28. Making a false or misleading statement on a nursing assistant, medication assistant or health care related employment or credential application eoneerning previous employment, employment experience, education, or credentials;
- 27.29. If an applicant or eertified nursing assistant certificate holder is charged with a felony or a misdemeanor, involving conduct that may affect patient safety, failing to notify the Board, in writing, within 10 days of being charged under A.R.S. § 32-3208. The applicant or eertified nursing assistant certificate holder shall include the following in the notification:
  - a. Name, current address, telephone number, Social Security number, and license number, if applicable;
  - b. Date of the charge; and
  - c. Nature of the offense;
- 28.30. Failing to notify the Board, in writing, of a conviction for a felony or an undesignated offense within 10 days of the conviction. The nursing assistant or applicant or certificate holder shall include the following in the notification:
  - a. Name, current address, telephone number, Social Security number, and license number, if applicable;
  - b. Date of the conviction;
  - c. Nature of the offense; and
- 31. For a medication assistant, performance of any acts associated with medication administration not specifically authorized by A.R.S. § 32-1650 et.seq; and
- 29.32. Practicing in any other manner that gives the Board reasonable cause to believe that the health of a patient, resident, or the public may be harmed.
- 33. Violation of any other state or federal laws, rules or regulations.

# R4-19-815. Reinstatement or Issuance of a Nursing Assistant Reissuance or Subsequent Issuance of a Nursing Assistant or Medication Assistant Certificate

An applicant whose application is denied or a nursing assistant certificate holder whose certificate is revoked in accordance with A.R.S. § 32-1663, may reapply to the Board after a period of five years from the date the certificate or application is revoked or denied. A nursing assistant certificate holder who voluntarily surrenders a nursing assistant certificate may reapply to the Board after no less than three years from the date the certificate is surrendered. The Board shall may issue or reinstate reissue a nursing assistant certificate under the following terms and conditions:

- An applicant shall submit documentation showing that the basis for denial, revocation or voluntary surrender has
  been removed and that the issuance or reinstatement re-issuance of nursing assistant certification will no longer constitute a threat to the public health or safety. The Board may require an applicant to be tested for competency, or
  retake and successfully complete a Board approved training program and pass the required examination, all at the
  applicant's expense.
- 2. The Board shall consider the application, and may designate a time for the applicant to address the Board at a regularly scheduled meeting.
- 3. After considering the application, the Board may:
  - a. Grant nursing assistant certification, or
  - b. Deny the application.
- 4. An applicant who is denied issuance or reinstatement of nursing assistant certification may request a hearing by filing a written request with the Board within 30 days of service of the Board's order denying issuance or reinstatement of nursing assistant certification. Hearings shall be conducted in accordance with A.R.S. Title 41, Chapter 6, Article 6.